



# QCD Factorization and Evolution for SSA

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Based on work with Collins, Ji, Kang, Kouvaris, Sterman, Vogelsang, Yuan, ...

# Spin of a composite particle

## □ Spin of a nucleus:

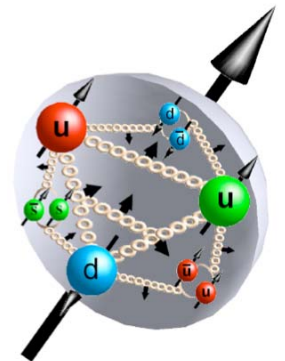
- ❖ Nuclear binding:  $8 \text{ MeV/nucleon} \ll \text{mass of nucleon}$
- ❖ Nucleon number is fixed inside a given nucleus
- ❖ Spin of a nucleus = sum of the valence nucleon spin

## □ Spin of a nucleon – Naïve Quark Model:

- ❖ If the probing energy  $\ll$  mass of constituent quark
- ❖ Nucleon is made of three constituent (valence) quark
- ❖ Spin of a nucleon = sum of the constituent quark spin

## □ Spin of a nucleon – QCD:

- ❖ Current quark mass  $\ll$  energy exchange of the collision
- ❖ Number of quarks and gluons depends on the probing energy



# Proton spin in QCD

□ Angular momentum of a proton at rest:

$$S = \sum_f \langle P, S_z = 1/2 | \hat{J}_f^z | P, S_z = 1/2 \rangle = \frac{1}{2}$$

□ QCD Angular momentum operator:

Energy-momentum tensor

$$J_{\text{QCD}}^i = \frac{1}{2} \epsilon^{ijk} \int d^3x M_{\text{QCD}}^{0jk} \quad \longleftarrow \quad M_{\text{QCD}}^{\alpha\mu\nu} = T_{\text{QCD}}^{\alpha\nu} x^\mu - T_{\text{QCD}}^{\alpha\mu} x^\nu$$

Angular momentum density

❖ Quark angular momentum operator:

$$\vec{J}_q = \int d^3x \left[ \psi_q^\dagger \vec{\gamma} \gamma_5 \psi_q + \psi_q^\dagger (\vec{x} \times (-i\vec{D})) \psi_q \right]$$

❖ Gluon angular momentum operator:

$$\vec{J}_g = \int d^3x \left[ \vec{x} \times (\vec{E} \times \vec{B}) \right]$$

**Need matrix elements of these partonic operators**

## Sum rule for proton spin

### □ Partonic contribution to the proton spin:

**If**  $\vec{P} = 0$ ,  $\langle P, S | \vec{J}_{q,g}(\mu^2) | P, S \rangle \propto \vec{S}$

**→**  $\langle P, S | \vec{J}_{q,g}(\mu^2) | P, S \rangle \equiv J_{q,g}(\mu^2) 2\vec{S}$

**Quark contribution:**  $J_q(\mu^2)$    **Gluon contribution:**  $J_g(\mu^2)$

### □ Ji's sum rule:

$$\frac{1}{2} = J_q(\mu^2) + J_g(\mu^2) = \left[ \frac{1}{2} \Sigma(\mu^2) + L_q(\mu^2) \right] + J_g(\mu^2)$$

**Quark helicity:**  $\Sigma(\mu^2) = \int_0^1 dx \sum_f [\Delta q_f(x, \mu^2) + \Delta \bar{q}_f(x, \mu^2)]$

### □ Calculation of these matrix elements:

- ❖ Proton wave function in terms of quarks and gluons – unknown
- ❖ Lattice QCD: non-local operators

# Foundation of perturbative QCD

## ☐ Renormalization

- QCD is renormalizable

Nobel Prize, 1999  
't Hooft, Veltman

## ☐ Asymptotic freedom

- weaker interaction at a shorter distance

Nobel Prize, 2004  
Gross, Politzer, Wilczek

## ☐ Infrared safety

- pQCD factorization and calculable short distance dynamics

## Question

- ❑ Can we measure hadronic matrix elements of simple quark or gluon operators?

Experiments measure hadronic cross sections

Many parton could participate in the hadronic collisions

- ❑ Approximation:

High energy scattering is dominated by single parton collision

- ❑ Factorization – large momentum transfer:

Single identified hadron – inclusive DIS – structure functions

Two identified hadrons – Drell-Yan, SIDIS, ...

Processes with more than two identified hadrons  
– hadronic pion production, ...

# Inclusive DIS – one identified hadron

## □ Feynman diagram representation of the DIS scattering:

$$W^{\mu\nu} \propto \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

## □ Perturbative pinched poles:

$$\int d^4k \, H(Q, k) \left( \frac{1}{k^2 + i\epsilon} \right) \left( \frac{1}{k^2 - i\epsilon} \right) T(k, \frac{1}{r_0}) \Rightarrow \infty \text{ perturbatively}$$

Dominated by a region where  $k^2 \sim M^2 \ll Q^2$  – “long-lived” parton state

## □ Perturbative factorization:

$$k^\mu = x p^\mu + \frac{k^2 + k_T^2}{2x p \cdot n} n^\mu + k_T^\mu$$

Nonperturbative matrix element

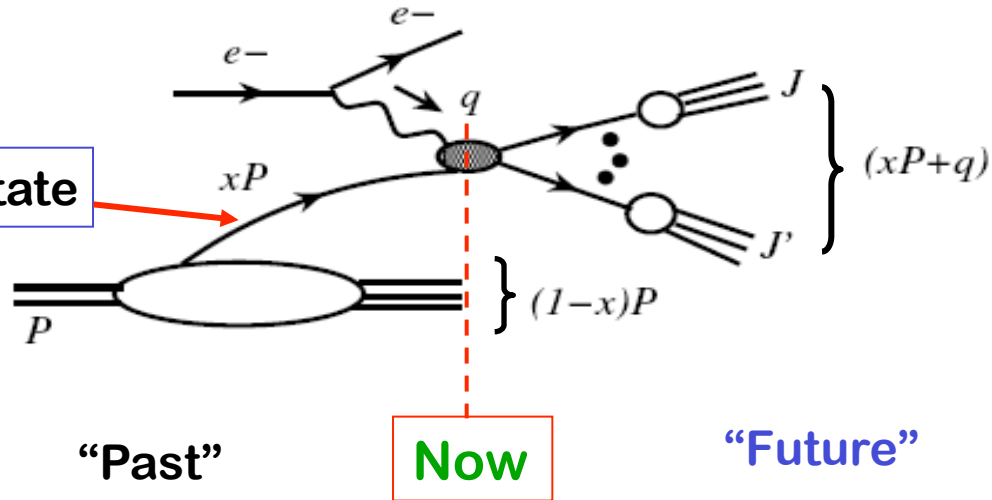
$$\int \frac{dx}{x} d^2k_T \, H(Q, k^2 = 0) \int dk^2 \left( \frac{1}{k^2 + i\epsilon} \right) \left( \frac{1}{k^2 - i\epsilon} \right) T(k, \frac{1}{r_0})$$

Short-distance

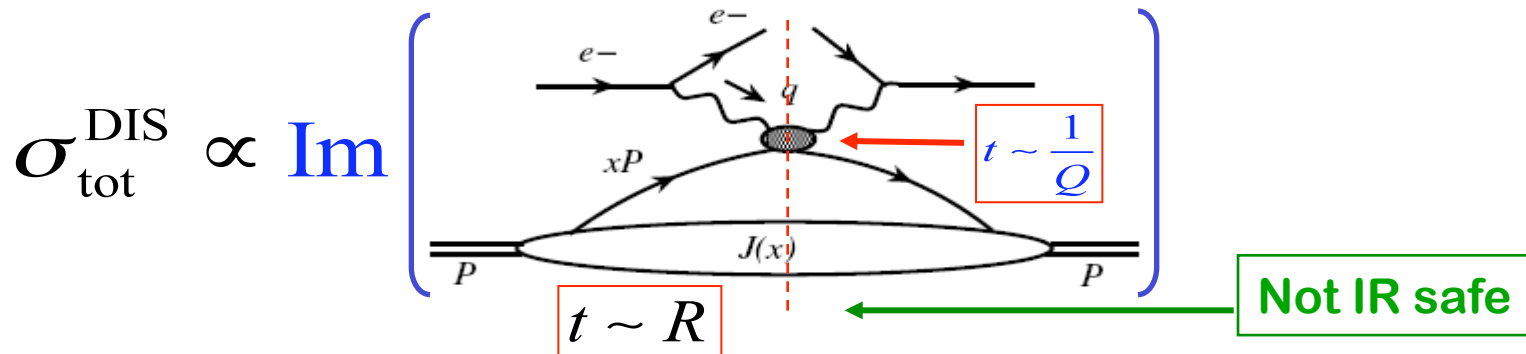
# Picture of DIS factorization

## □ Time evolution:

Long-lived parton state



## □ Unitarity – summing over all hard jets:



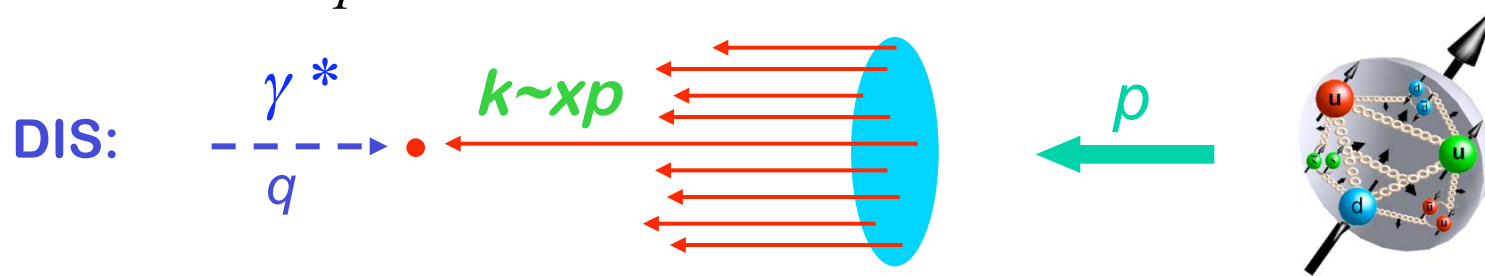
Interaction between the “past” and “now” are suppressed!



# Collinear Factorization

## □ Collinear approximation:

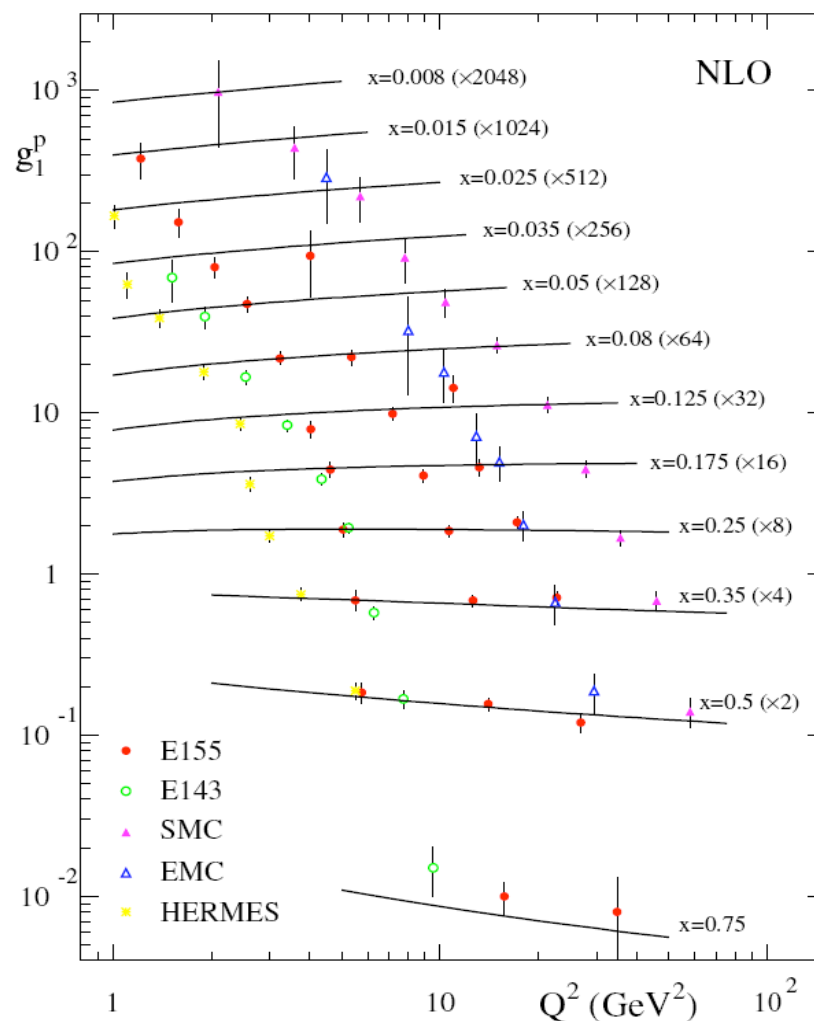
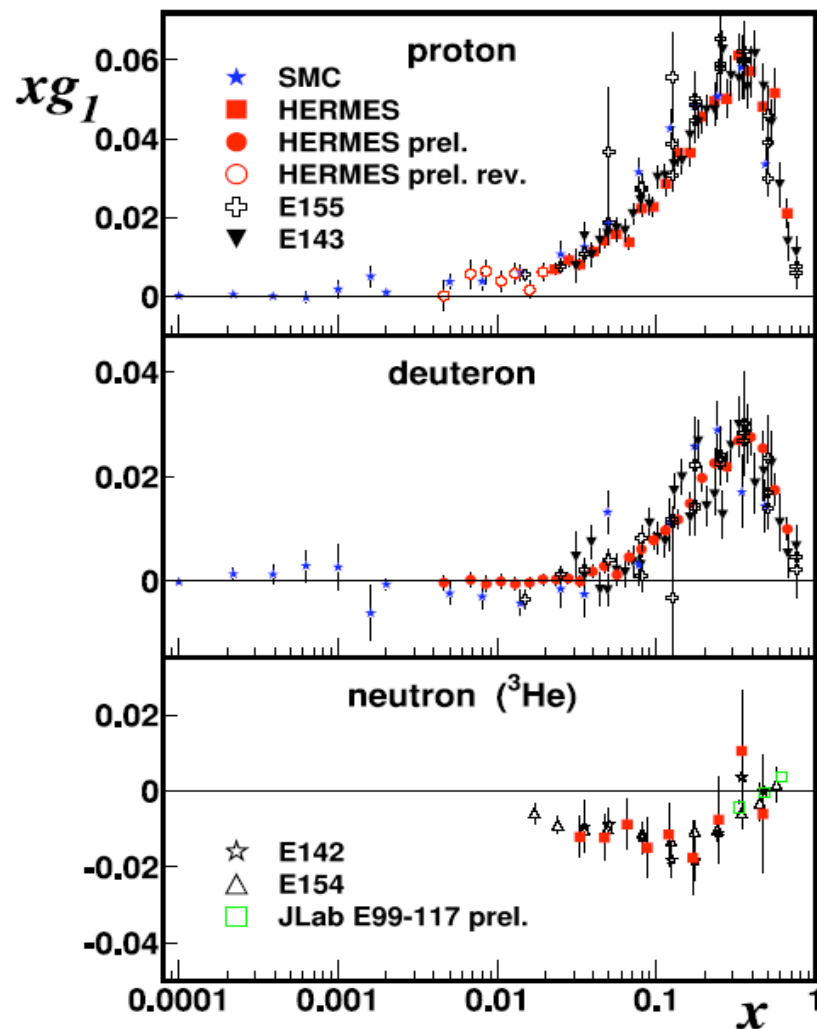
$$k^\mu \approx xp^\mu + \frac{k_T^2}{2xp \cdot n} n^\mu + k_T^\mu \approx xp \quad \text{if } Q \sim xp \cdot n \gg k_T, \sqrt{k^2}$$



- ❖ Hadron is approximated by a beam of partons of momentum fraction  $x_i$
- ❖ Parton's transverse motion is integrated into parton distributions:  $\varphi(x)$

□ Parton distributions are process independent, and QCD collinear factorization has been very successful

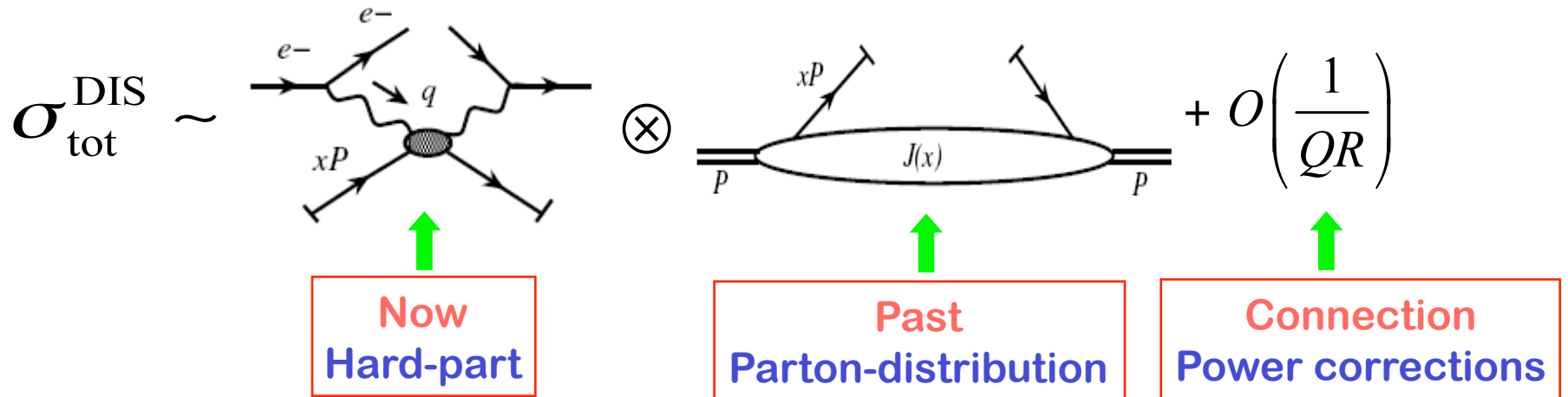
# Polarized inclusive DIS



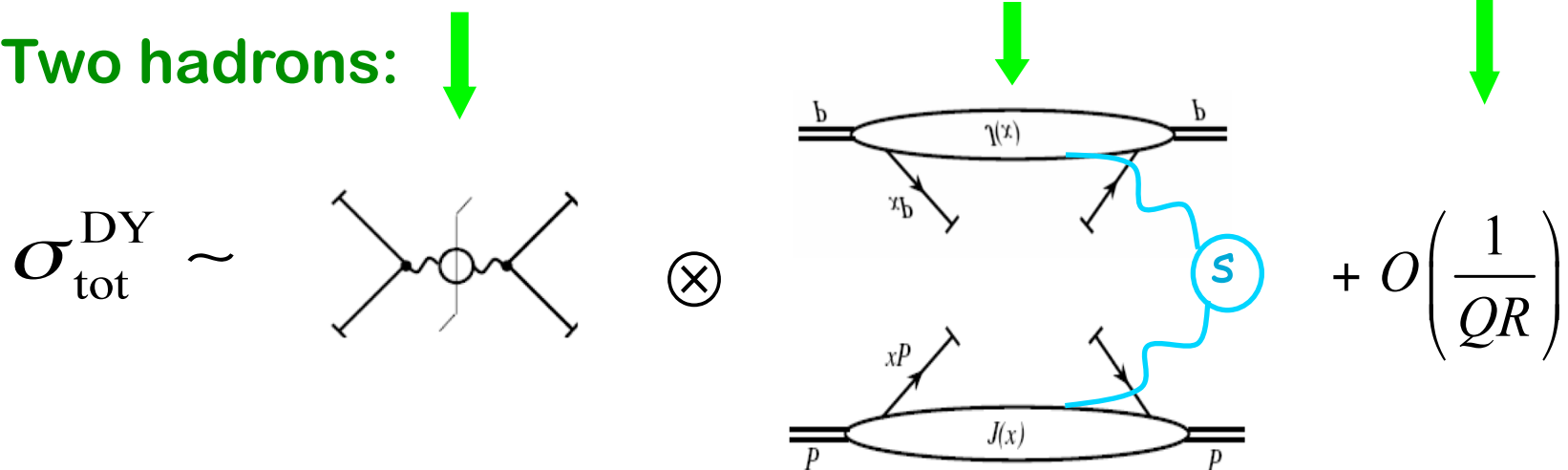
NLO QCD factorization is consistent with the data

# Factorization – two identified hadrons

## □ One hadron:



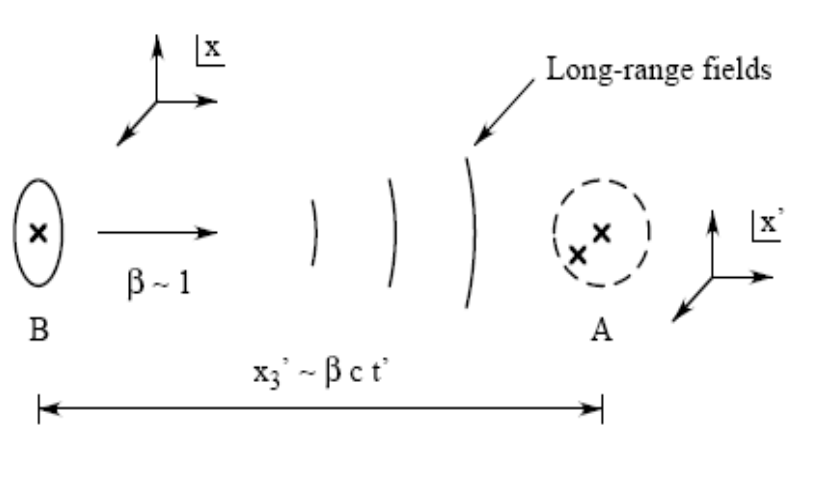
## □ Two hadrons:



Soft interactions between incoming hadrons break the universality of PDFs

# Heuristic argument for factorization

- ❑ Soft-gluon interactions take place all the time:
- ❑ Suppression of soft-gluon interactions:



$x$ -Frame

$$A^-(x) = \frac{e}{|\vec{x}|}$$

$$E_3(x) = \frac{e}{|\vec{x}|^2}$$

$x'$ -Frame

$$A'^-(x') = \frac{e\gamma(1+\beta)}{(x_T^2 + \gamma^2\Delta^2)^{1/2}}$$

$$\Rightarrow 1 \text{ "not contracted!"}$$

$$E_3(x') = \frac{-e\gamma\Delta}{(x_T^2 + \gamma^2\Delta^2)^{3/2}}$$

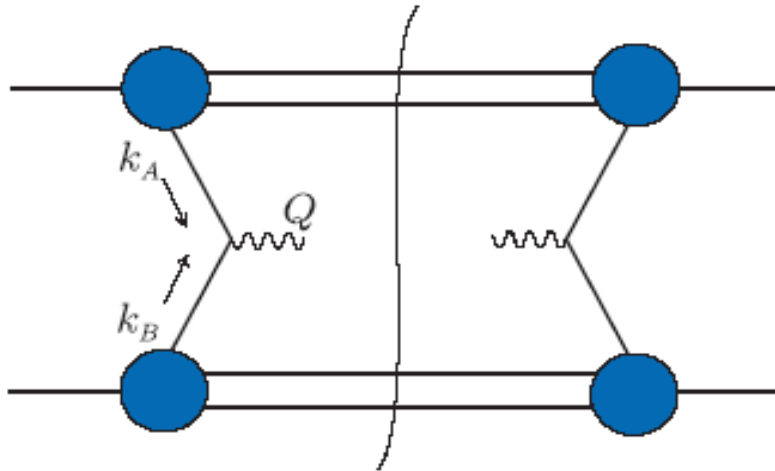
$$\Rightarrow \frac{1}{\gamma^2} \text{ "strongly contracted!"}$$

- ❑ Factorization breaks beyond  $1/Q^2$  ( $1/Q$  for spin):

$$\sigma(Q) = H^0 \otimes f_2 \otimes f_2 + \left(\frac{1}{Q^2}\right) H^1 \otimes f_2 \otimes f_4 + O\left(\frac{1}{Q^4}\right)$$

Doria, et al (1980)  
 Basu et al. (1984)  
 Brandt, et al (1989)

# Why Drell-Yan factorization makes sense?



- ❖ Pinch singularities
- ❖ Long-lived partonic states
- ❖ lowest order kinematics determines the process

$$\frac{d\sigma}{dQ^2 dy} = \int dk_{A,T} dk_{B,T} dk_A^- dk_B^+ H_{\mu,\nu}(Q^+, Q^-, k_{A,T} + k_{B,T})$$

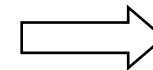
$$\times \text{Tr}\{\gamma^\mu \Phi_A(Q^+ - \cancel{k_B^+}, k_{A,T}, k_A^-) \gamma^\nu \Phi_B(k_B^+, k_{A,T}, Q^- - \cancel{k_A^-})\}$$

**Approximation:**

$$k_{A,T}^2, k_{B,T}^2 \ll Q^2$$

$$k_A^- \ll Q^-$$

$$k_B^+ \ll Q^+$$

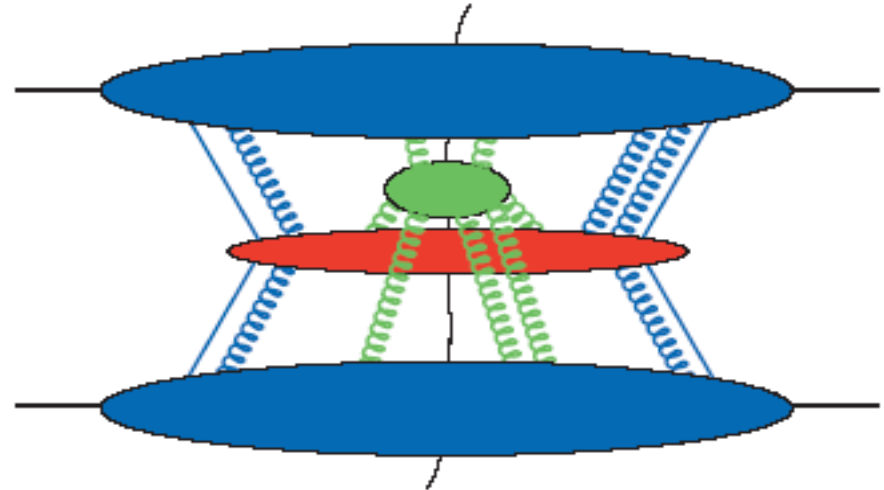


**Drell-Yan  
formula**

# QCD dynamics is rich and complicate

## □ Leading pinch surface:

Analysis of leading  
(pinch or singular)  
integration regions  
gives the following:



**Hard** (Large  $P_T$  or way off shell)

**Collinear** (to A or to B, small  $P_T$ ) – one-pair “physical parton”  
from each hadron

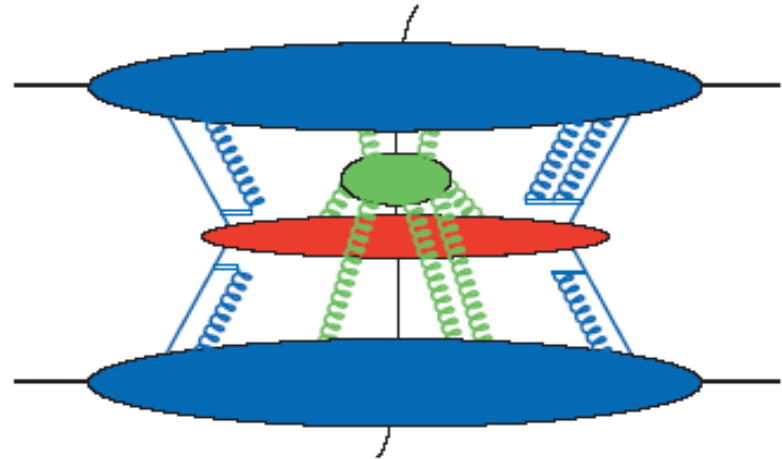
**Soft** (All components small, includes “Glauber.”)

## □ Factorization:

Long-distance distributions are process independent

## Eikonalization of collinear gluons

The extra collinear gluons would be a big problem because the factorization formula contemplates collisions of only one parton from each hadron.



But the collinear gluons are OK

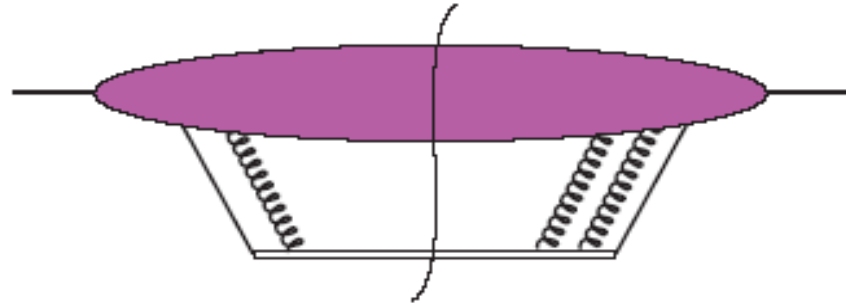
- The extra collinear gluons have  $\epsilon^\mu \propto k^\mu$ .
- Their effect can be approximated as shown with eikonal lines, with  $u$  in the  $-$  direction for hadron A,  $u$  in the  $+$  direction for hadron B,

$$\text{propagator} = \frac{i}{k \cdot u + i\epsilon}$$

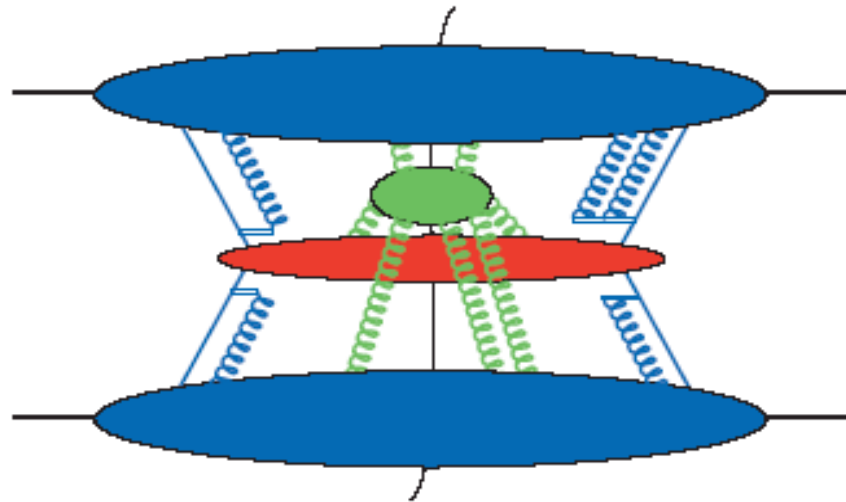
$$\text{vertex} = -igt_a u^\mu$$

# Factorization of PDFs

Parton distribution in diagrams

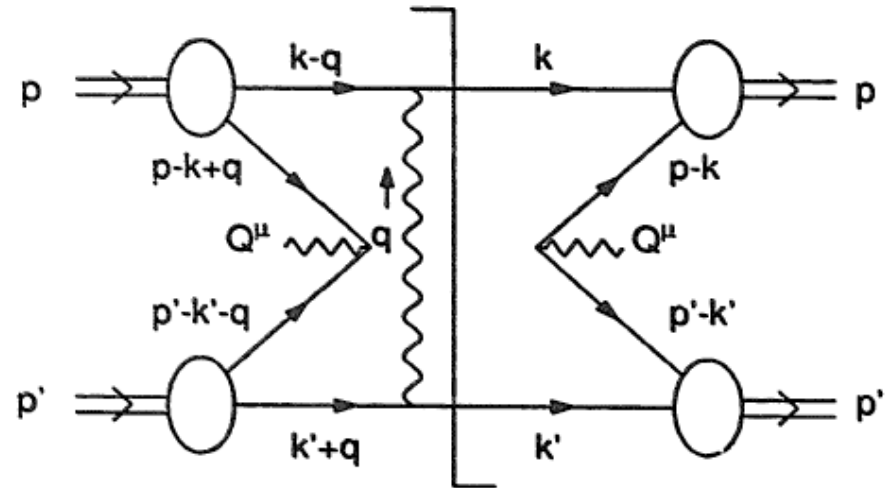
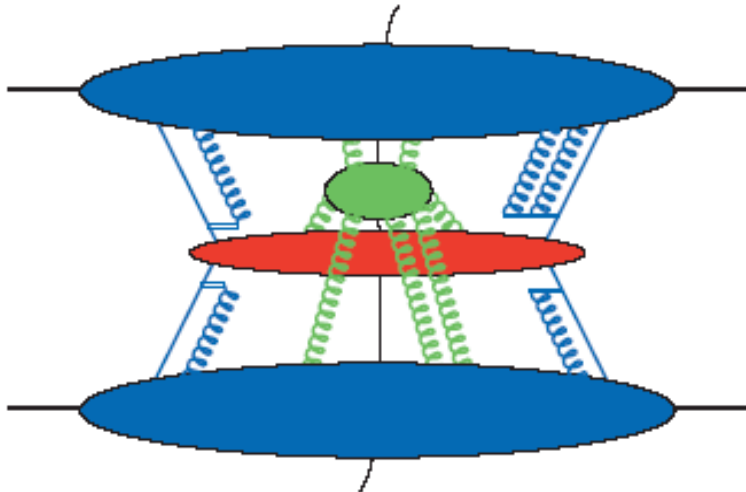


Compare





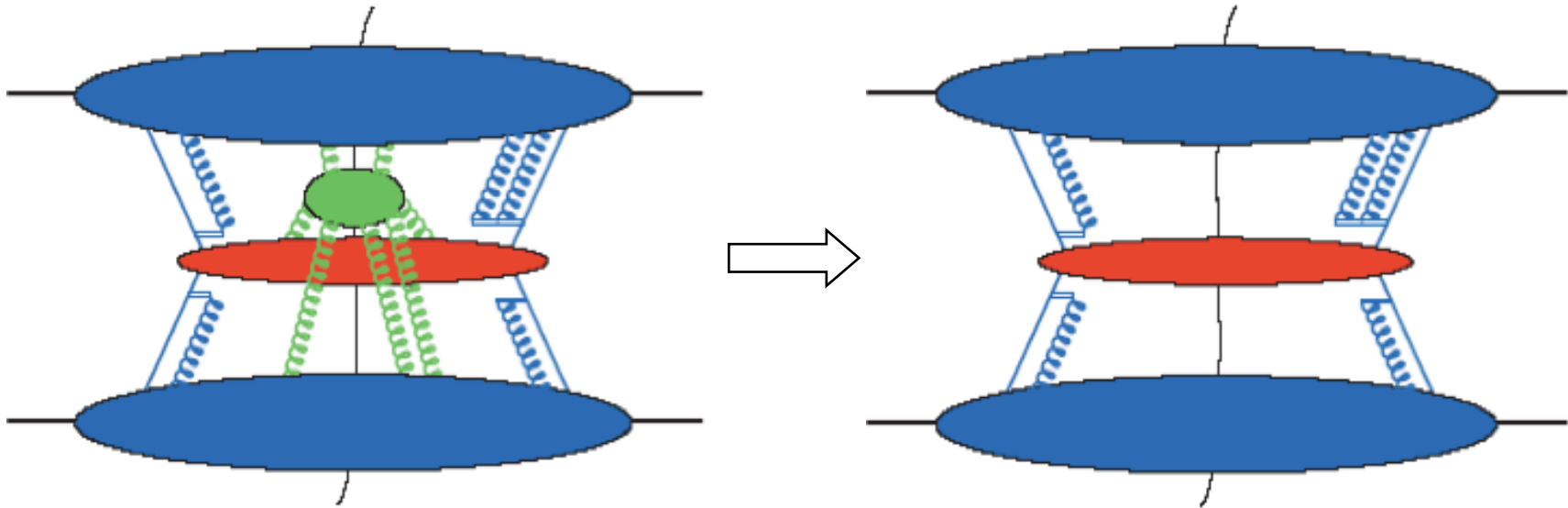
## Trouble from soft gluons



- It seems that a soft gluon exchanged from a spectator quark in hadron A to the active quark in hadron B can rotate the quark's color and thus keep it from annihilating.
- Soft gluon approximations (with eikonal lines) needs  $q^\pm$  not too small. But  $q^\pm$  contours can be trapped in "too small" region.

**Pinch from spectator interaction:**  $q^\pm \sim M^2/Q \ll q_\perp \sim M$

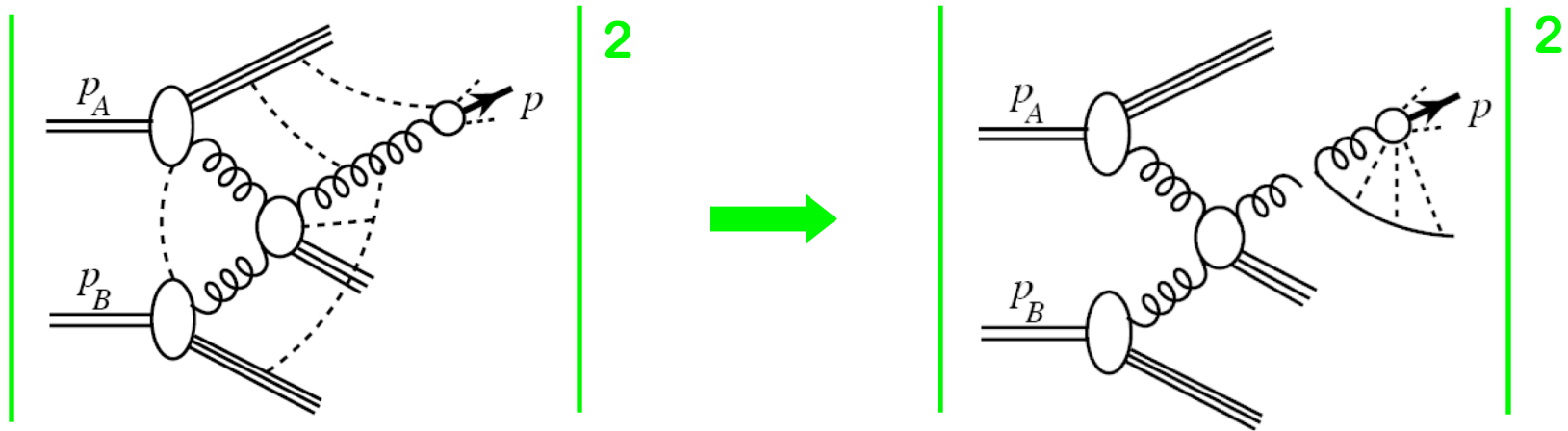
## Soft gluons take care of themselves



- ❖ Most technical part of the factorization
- ❖ Sum over all final states to remove all poles in one-half plane
  - no more pinch poles
- ❖ Deform the  $q^\pm$  integration out of the trapped soft region
- ❖ Eikonal approximation, unitarity, causality, and gauge invariance

# Factorization – high $P_T$ single particle

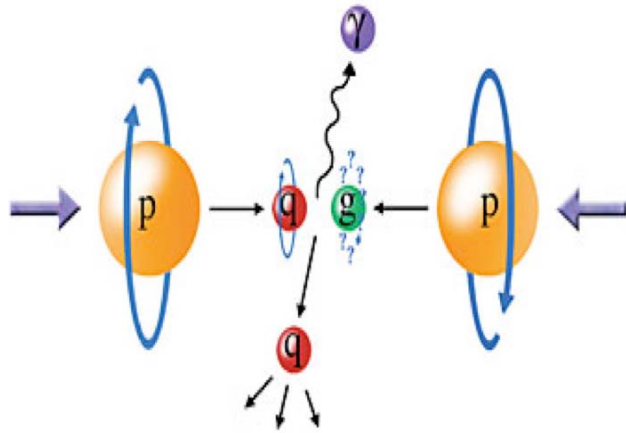
Nayak, Qiu, Sterman, 2006



- ❖ Eikonalization of gluons collinear to the final-state hadron
- ❖ Factorization of the fragmentation function
- ❖ Factorization of gluons from the initial-state hadrons
  - same as the factorization of Drell-Yan
- ❖ Normalization of short-distance hard parts is fixed by the definition of the universal PDFs and FFs

# Factorization – approximation

## □ Hadronic production of direct photon:



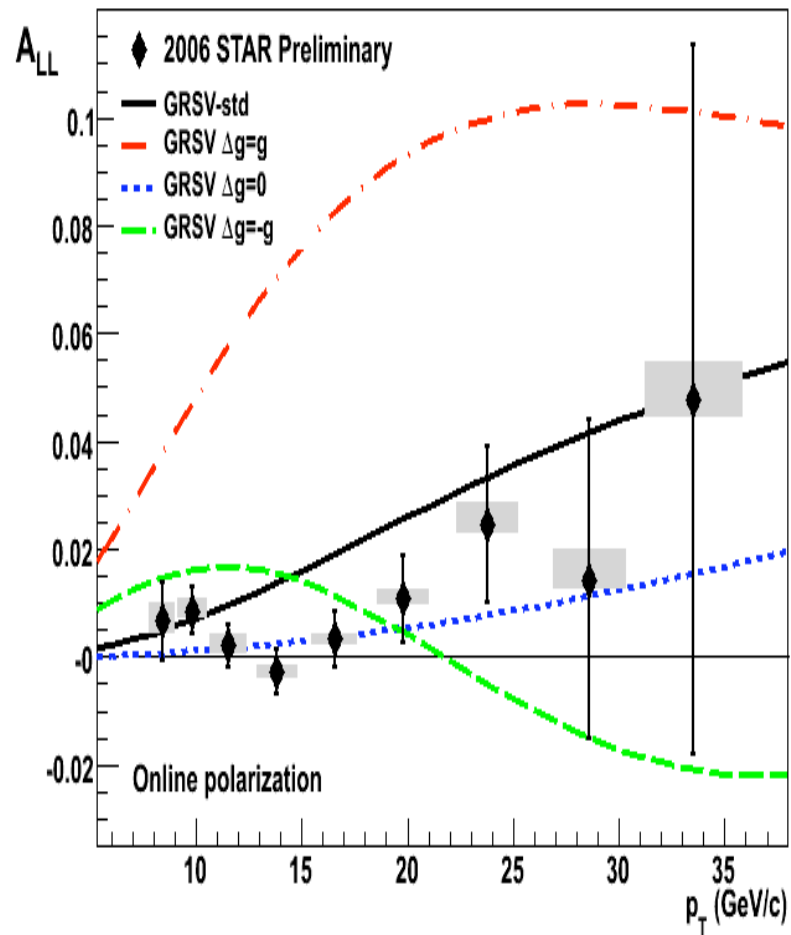
$$\frac{d\sigma}{dydp_T^2} = \int \frac{dx}{x} q(x) \int \frac{dx'}{x'} g(x') \frac{d\hat{\sigma}_{qg \rightarrow \gamma q}}{dydp_T^2} + \mathcal{O}(\alpha_s^2) + \mathcal{O}\left(\frac{1}{p_T^\alpha}\right)$$

## □ Predictive power:

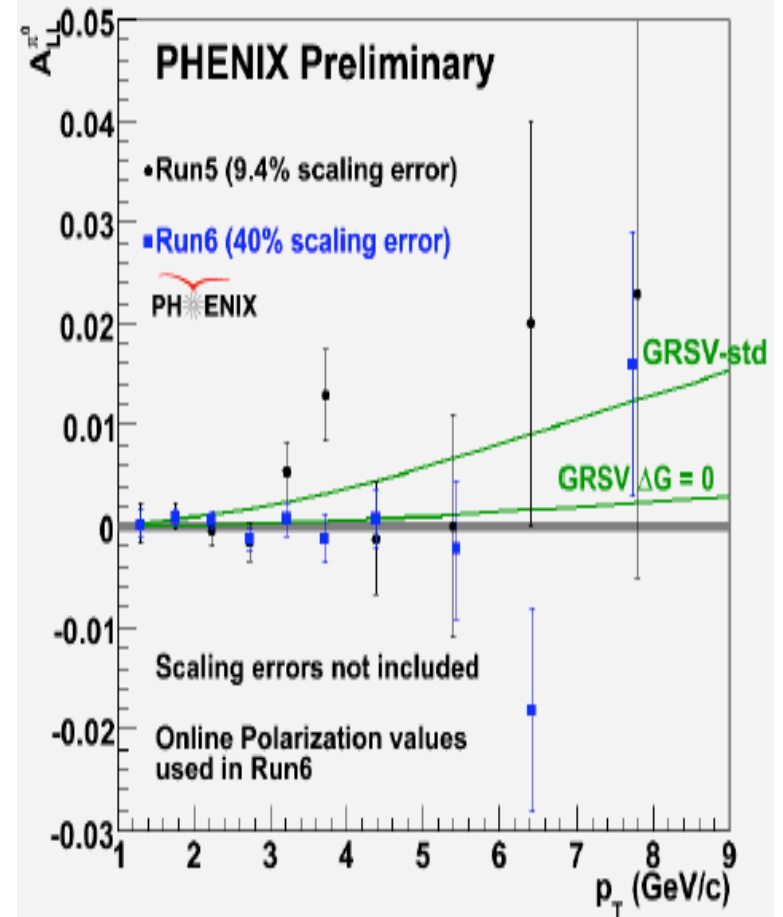
- ❖ short-distance and long-distance physics are separately gauge invariant
- ❖ short-distance part is infrared-Safe, and calculable
- ❖ long-distance part is process independent – Universal PDFs

# Polarized hadronic collisions

## Star jet



## Phenix $\pi^0$



Small asymmetry leads to small gluon “helicity” distribution

# Quark “helicity” to proton spin

## □ Extracted by the leading power QCD:

$$\Delta q = \int_0^1 dx \Delta q(x) = \langle P, s_{\parallel} | \bar{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(0) | P, s_{\parallel} \rangle$$

❖ Integrated over “ALL” momentum components of active parton

❖ Collinear factorization:

- parton entering the hard part has only collinear momentum
- parton in the distribution has all components

## □ NLO QCD global fit - DSSV:

$$\Delta u + \Delta \bar{u} = 0.813 \quad \Delta d + \Delta \bar{d} = -0.458 \quad \Delta \bar{s} = -0.057$$

$$\Sigma = 0.242 \approx 24\% \text{ proton spin}$$

de Florian, Sassot, Stratmann, and Vogelsang  
Phys. Rev. Lett. 2008

## □ From Ji’s definition:

$$J_q = \frac{1}{2} \int d^3x \langle \vec{P} = 0, \vec{S} | \psi_q^\dagger(x) \vec{\gamma} \cdot \vec{S} \gamma_5 \psi_q(x) | \vec{P} = 0, \vec{S} \rangle + L_q$$

# Gluon “helicity” to proton spin

## □ Extracted by the leading power QCD:

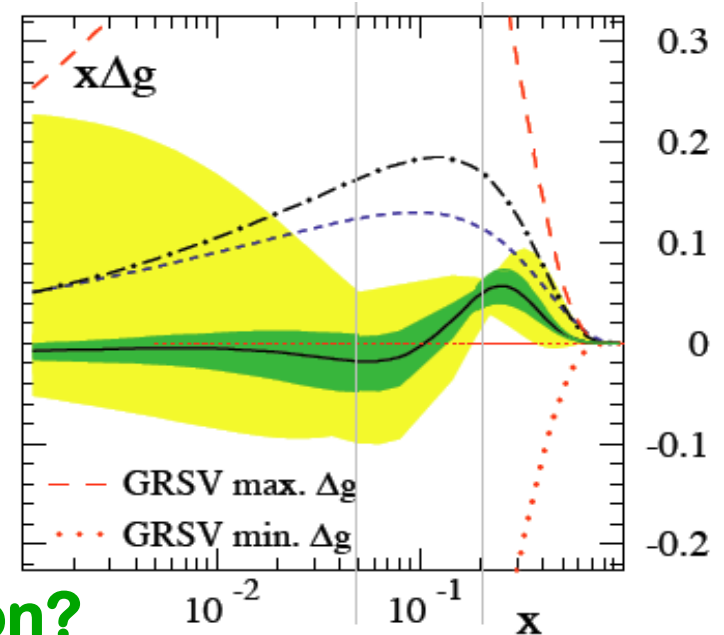
$$\Delta g = \int_0^1 dx \Delta g(x) = \langle P, s_{\parallel} | F^{+\mu}(0) F^{+\nu}(0) | P, s_{\parallel} \rangle (-i\epsilon_{\mu\nu})$$

Integrated over “ALL” momentum components of active gluon

## □ NLO QCD global fit - DSSV:

$$\Delta g = -0.084 \quad \text{arXiv:0804.0422}$$

- ❖  $\Delta g(x)$  change sign in RHIC region
- ❖ Effectively, “no” contribution to proton spin



## □ Measure the gluonic contribution?

$$J_g = \frac{1}{2} \int d^3x \langle \vec{P} = 0, \vec{S} | [\vec{x} \times \vec{E}(x) \times \vec{B}(x)] \cdot \vec{S} | \vec{P} = 0, \vec{S} \rangle$$

# Questions

How to go beyond  
the probability distributions?

How to probe  
parton's transverse motion?

## Single Transverse-Spin Asymmetry (SSA)

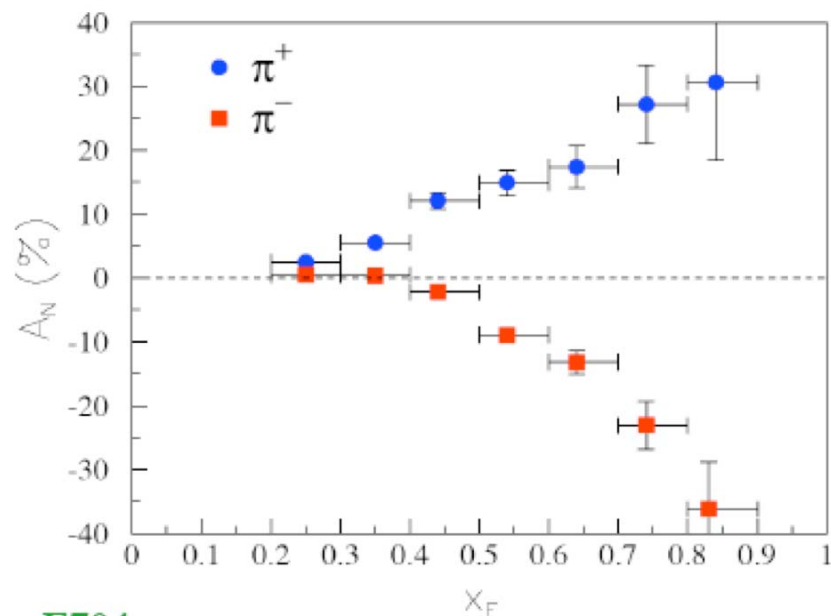
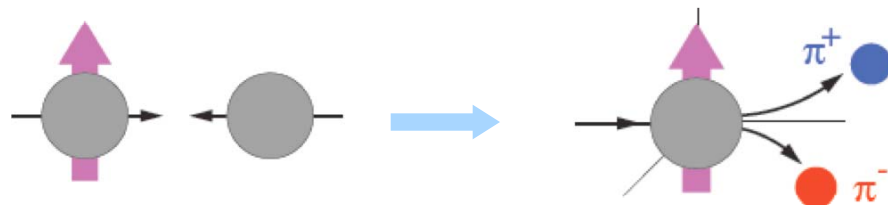
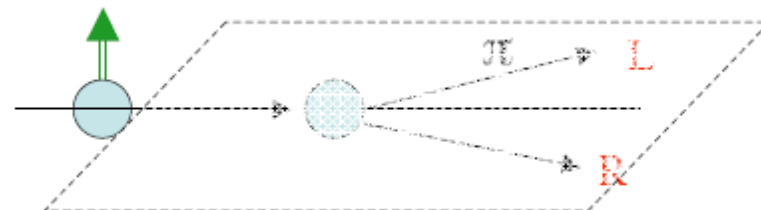
$$A(\ell, \vec{s}) \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$



# SSA in hadronic collisions

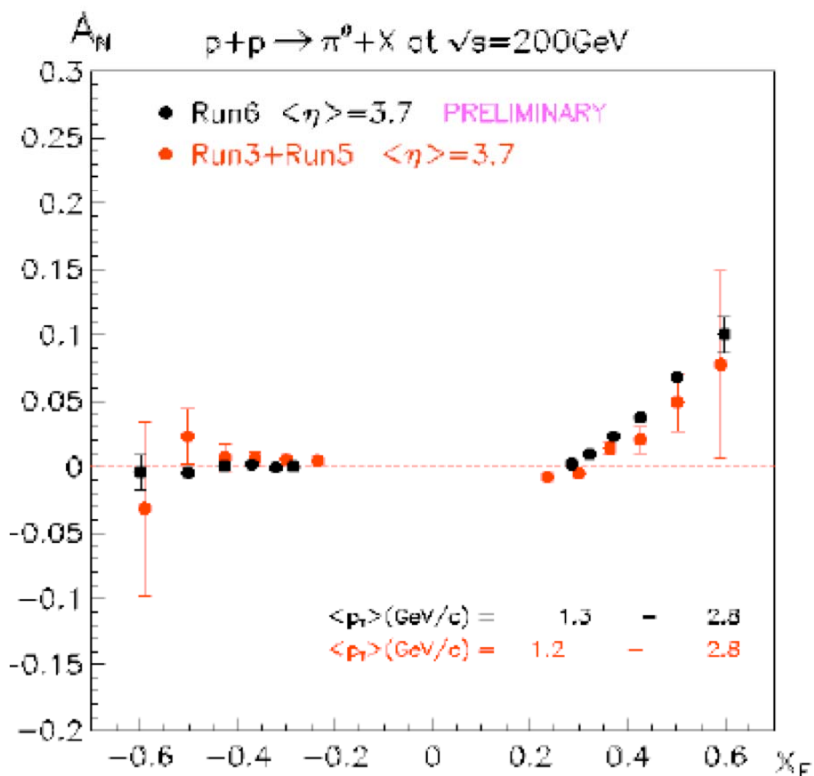
□ Hadronic  $p \uparrow + p \rightarrow \pi(l)X$  :

$$A_N = \frac{1}{P_{\text{beam}}} \frac{N_{\text{left}}^{\pi} - N_{\text{right}}^{\pi}}{N_{\text{left}}^{\pi} + N_{\text{right}}^{\pi}}$$



E704

June 9, 2009



STAR (BRAHMS, too)

## Role of fundamental symmetries

### □ Fundamental symmetry and vanishing asymmetry:

❖  $A_L=0$  (longitudinal) for Parity conserved interactions

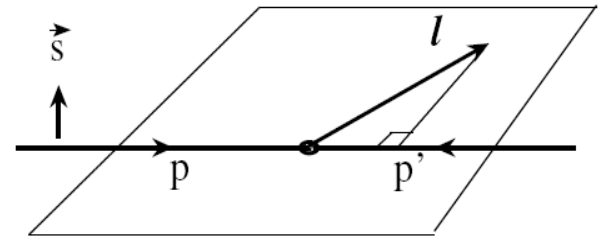
❖  $A_N=0$  (transverse) for inclusive DIS – Time-reversal invariance

– proposed to test T-invariance by Christ and Lee (1966)

Even though the cross section is finite!

### □ SSA corresponds to a T-odd triple product

$$A_N \propto i\vec{s}_p \cdot (\vec{p} \times \vec{\ell}) \Rightarrow i\varepsilon^{\mu\nu\alpha\beta} p_\mu s_\nu \ell_\alpha p'_\beta$$



Novanishing  $A_N$  requires a phase, a spin flip, and enough vectors to fix a scattering plan

# SSA in parton model

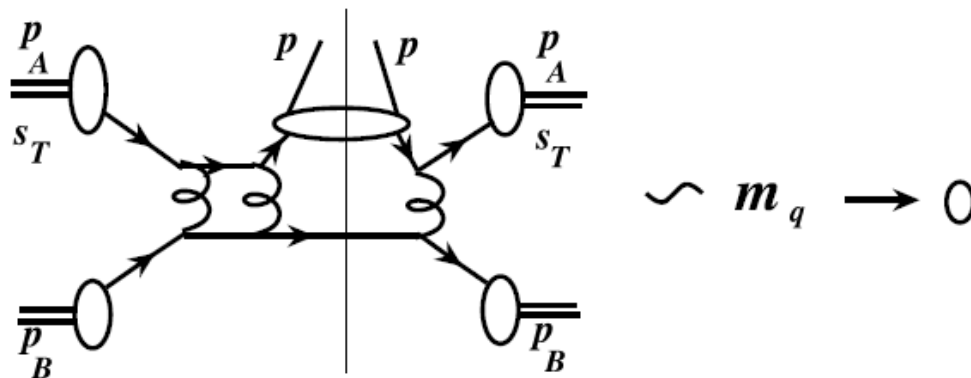
□ The spin flip at leading twist – transversity:

$$\delta q(x) = \text{[Diagram: two circles with arrows]} \propto \langle P, \vec{S}_\perp | \bar{\psi}_q \left[ \gamma^+ \gamma \cdot \vec{S}_\perp \right] \psi_q | P, \vec{S}_\perp \rangle$$

Chiral-odd helicity-flip density

❖ the operator for  $\delta q$  has even  $\gamma$ 's  $\Rightarrow$  quark mass term

❖ the phase requires an imaginary part  $\Rightarrow$  loop diagram



$\Rightarrow$  SSA vanishes in the parton model  
connects to parton's transverse motion

## Cross section with ONE large scale

- Collinear factorization approach is more relevant

$$\left(\frac{\langle k_{\perp} \rangle}{Q}\right)^n - \text{Expansion}$$

$$\sigma(Q, s_T) = H_0 \otimes f_2 \otimes f_2 + (1/Q) H_1 \otimes f_2 \otimes f_3 + \mathcal{O}(1/Q^2)$$

↑  
Too large to compete!

↑  
Three-parton correlation

- SSA – difference of two cross sections with spin flip is power suppressed compared to the cross section

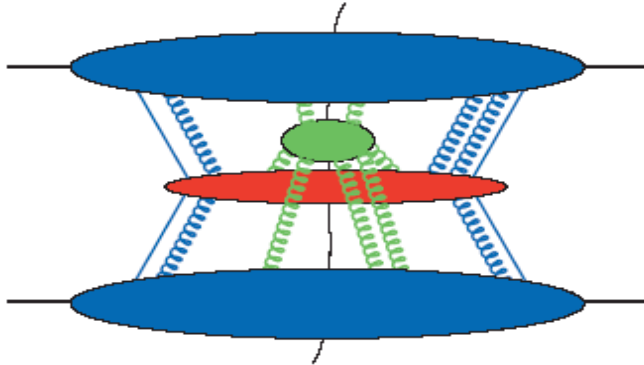
$$\begin{aligned}\Delta\sigma(Q, s_T) &\equiv [\sigma(Q, s_T) - \sigma(Q, -s_T)]/2 \\ &= (1/Q) H_1(Q/\mu_F, \alpha_s) \otimes f_2(\mu_F) \otimes f_3(\mu_F) + \mathcal{O}(1/Q^2)\end{aligned}$$

- ❖ Sensitive to twist-3 multi-parton correlation functions
- ❖ Integrated information on parton's transverse motion

# Factorization beyond leading power – I

Qiu, Sterman, 1991

## □ Power correction from the leading pinch surface:



- ❖ For “central soft” gluons (similar to Glauber gluons):  
Expand both jet functions around the leading twist one

$$\Delta J^{(\mu, \mathbb{K})}(C)(p, k, q_i, C_S) = J^{(\mu, \mathbb{K})}(C)(p, k, q_i, C_S) - J^{(+, \mathbb{K})}(C)(p, k, \bar{q}_i, C_S)$$

$$\longrightarrow J^{(\mu, \mathbb{K})}(C)(p, k, q_i, C_S) S_{\{\mu, \mathbb{K}\}}^{(C_S)}(q_i, q'_i) J^{(0, \mathbb{K})}(C)(p', k', q'_i, C_S) H(k, k', q_i, q'_i, C_S)$$

$$= \left[ \Delta J^{(\mu, \mathbb{K})}(C)(p, k, q_i, C_S) S_{\{\mu, \mathbb{K}\}}^{(C_S)}(q_i, q'_i) \Delta J^{(0, \mathbb{K})}(C)(p', k', q'_i, C_S) \leftarrow \mathcal{O}(M^4/Q^4) \right.$$

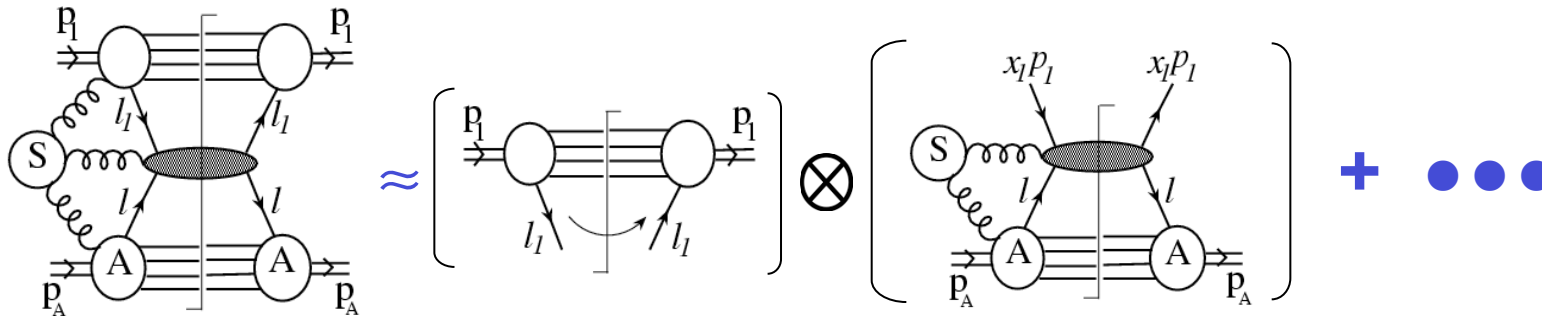
$$+ \underbrace{J^{(+, \mathbb{K})}(C)(p, k, \bar{q}_i, C_S)}_{\text{green circle}} S_{\{\mu, \mathbb{K}\}}^{(C_S)}(q_i, q'_i) \Delta J^{(0, \mathbb{K})}(C)(p', k', q'_i, C_S) \leftarrow \mathcal{O}(M^2/Q^2)$$

$$+ \Delta J^{(\mu, \mathbb{K})}(C)(p, k, q_i, C_S) S_{\{\mu, \mathbb{K}\}}^{(C_S)}(q_i, q'_i) \underbrace{J^{(+, \mathbb{K})}(C)(p', k', \bar{q}'_i, C_S)}_{\text{green circle}} \leftarrow \mathcal{O}(M^2/Q^2)$$

$$\text{LP} \longrightarrow \left[ \underbrace{J^{(+, \mathbb{K})}(C)(p, k, \bar{q}_i, C_S)}_{\text{green circle}} S_{\{\mu, \mathbb{K}\}}^{(C_S)}(q_i, q'_i) \underbrace{J^{(+, \mathbb{K})}(C)(p', k', \bar{q}'_i, C_S)}_{\text{green circle}} \right] H(k, k', q_i, q'_i, C_S)$$

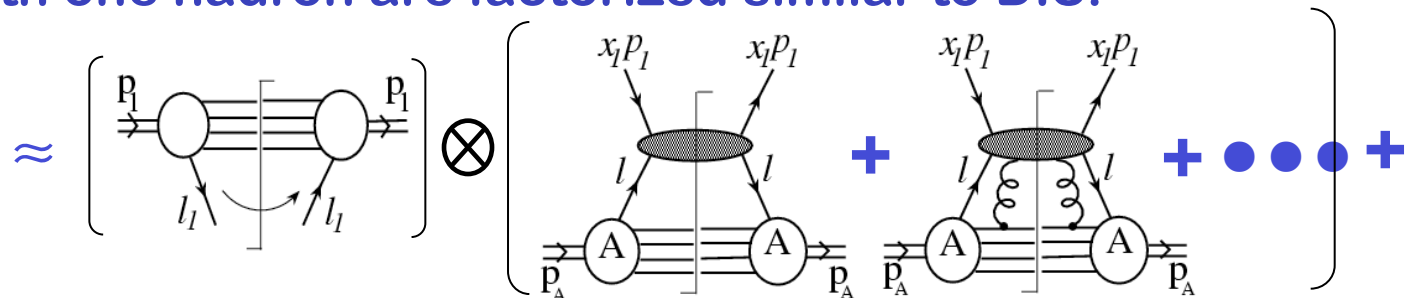
# Factorization beyond leading power – II

## ❖ Standard factorization of twist-2 PDFs:



**No communication between hadrons other than the active partons**

## ❖ Diagrams with one hadron are factorized similar to DIS:



**Soft-gluon interaction between the sub-leading contribution of two hadrons cannot be factorized!**

**3-parton for SSA**

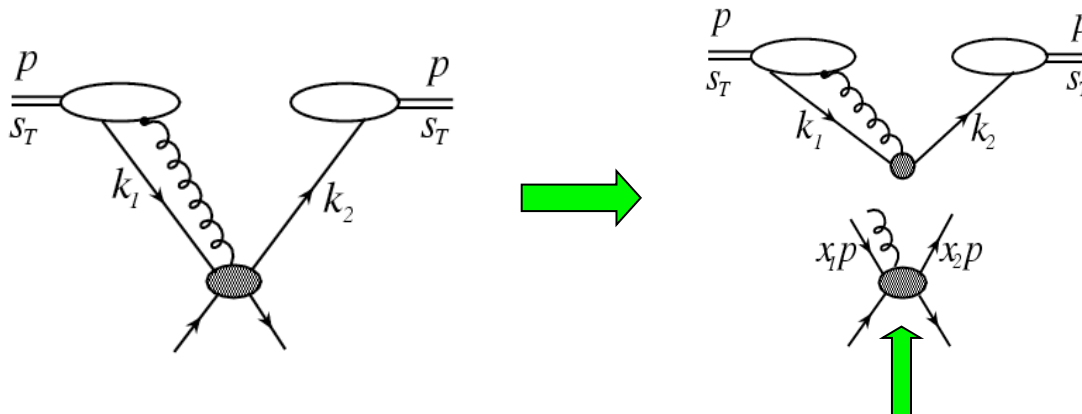
**Factorization with two or more hadrons only works upto the first subleading power!**

# SSA in QCD Collinear Factorization – I

□ All scales  $\gg \Lambda_{\text{QCD}}$ :

$$\sigma(s_T) \sim \left| \begin{array}{c} \text{Diagram 1} \\ + \\ \text{Diagram 2} \\ + \dots \end{array} \right|^2$$

□ Factorization at twist-3 – initial-state:



□ Twist-3 quark-gluon correlation:

Normal twist-2 distributions

$$T_{q,F}(x, x, \mu_F) = \int \frac{dy_1^-}{2\pi} e^{ixP^+y_1^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+}{2} \left[ \int dy_2^- \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y_2^-) \right] \psi_q(y_1^-) | P, s_T \rangle$$

# SSA in QCD Collinear Factorization – II

Qiu, Sterman, 1998

## □ Factorization formalism for SSA of single hadron:

$$\begin{aligned}\Delta\sigma_{A+B\rightarrow\pi}(\vec{s}_T) = & \sum_{abc} \phi_{a/A}^{(3)}(x_1, x_2, \vec{s}_T) \otimes \phi_{b/B}(x') \otimes H_{a+b\rightarrow c}(\vec{s}_T) \otimes D_{c\rightarrow\pi}(z) \\ & + \sum_{abc} \delta q_{a/A}^{(2)}(x, \vec{s}_T) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes H''_{a+b\rightarrow c}(\vec{s}_T) \otimes D_{c\rightarrow\pi}(z) \\ & + \sum_{abc} \delta q_{a/A}^{(2)}(x, \vec{s}_T) \otimes \phi_{b/B}(x') \otimes H'_{a+b\rightarrow c}(\vec{s}_T) \otimes D_{c\rightarrow\pi}^{(3)}(z_1, z_2) \\ & + \text{higher power corrections,}\end{aligned}$$

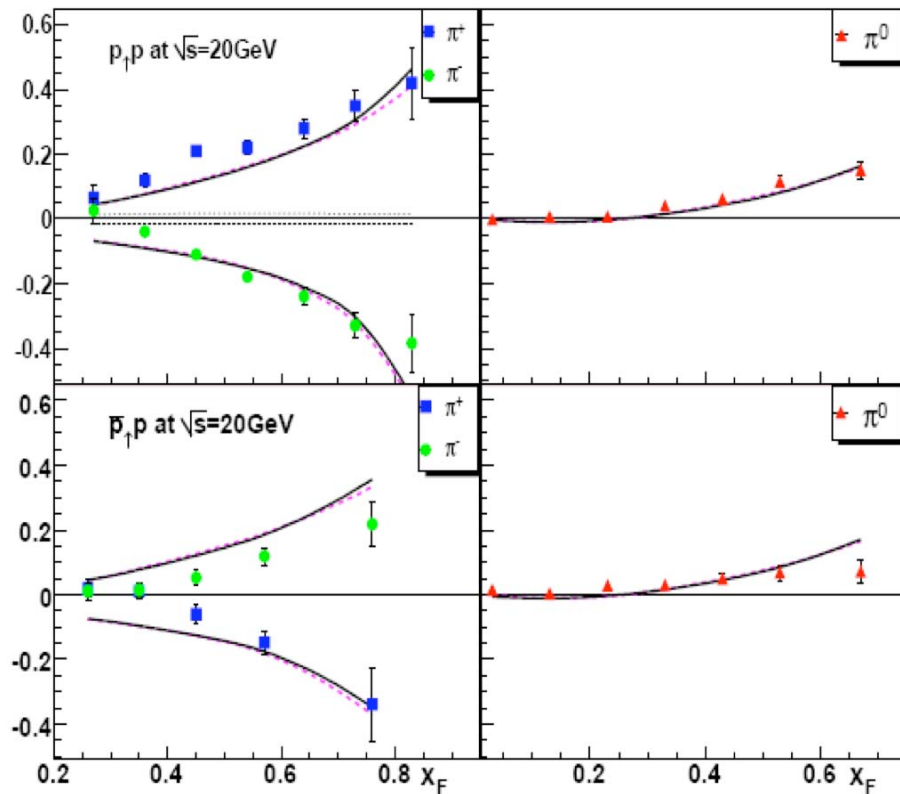
**Only one twist-3 distribution in each term!**

- ❖ 1<sup>st</sup> term: Collinear version of Sivers effect
- ❖ 2<sup>nd</sup> term: Collinear version of transversity + BM function
- ❖ 3<sup>rd</sup> term: Collinear version of Collins effect

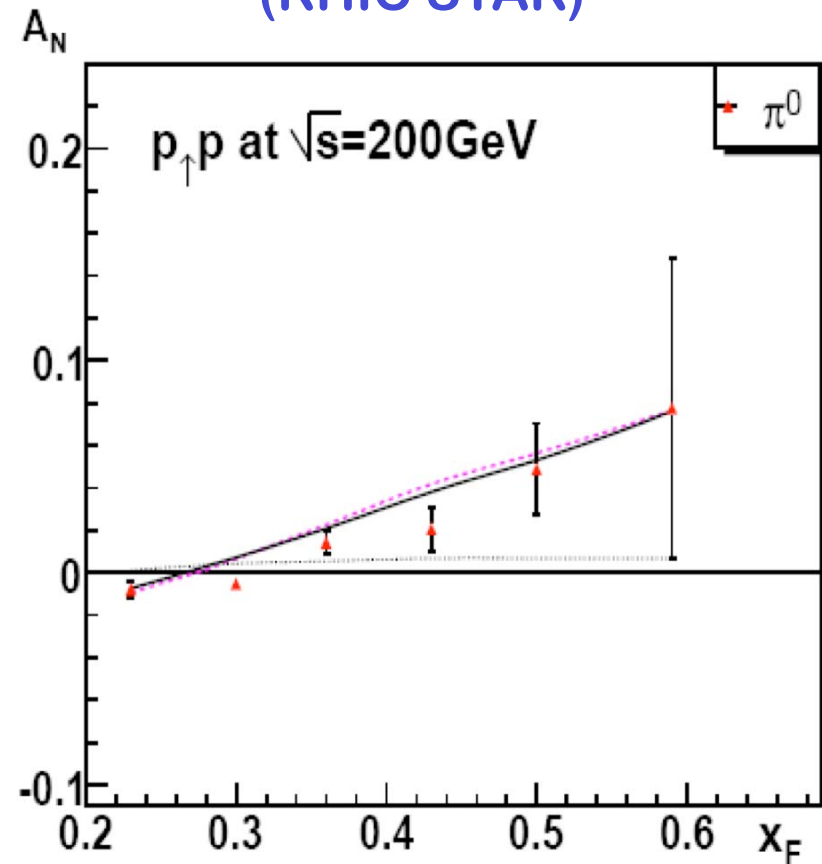


# Asymmetries from the $T_F(x,x)$

(FermiLab E704)



(RHIC STAR)



Kouvaris, Qiu, Vogelsang, Yuan, 2006

Nonvanish twist-3 function  $\longrightarrow$  Nonvanish transverse motion

# Multi-gluon correlation functions

## □ Diagonal tri-gluon correlations:

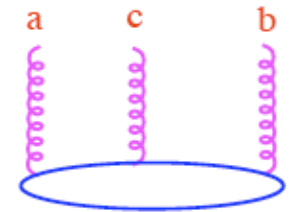
Ji, PLB289 (1992)

$$T_G(x, x) = \int \frac{dy_1^- dy_2^-}{2\pi} e^{ixP^+ y_1^-} \times \frac{1}{xP^+} \langle P, s_\perp | F^+_\alpha(0) [\epsilon^{s_\perp \sigma n \bar{n}} F_\sigma^+(y_2^-)] F^{\alpha+}(y_1^-) | P, s_\perp \rangle$$

## □ Two tri-gluon correlation functions – color contraction:

$$T_G^{(f)}(x, x) \propto i f^{ABC} F^A F^C F^B = F^A F^C (\mathcal{T}^C)^{AB} F^B$$

$$T_G^{(d)}(x, x) \propto d^{ABC} F^A F^C F^B = F^A F^C (\mathcal{D}^C)^{AB} F^B$$



**Quark-gluon correlation:**  $T_F(x, x) \propto \bar{\psi}_i F^C (T^C)_{ij} \psi_j$

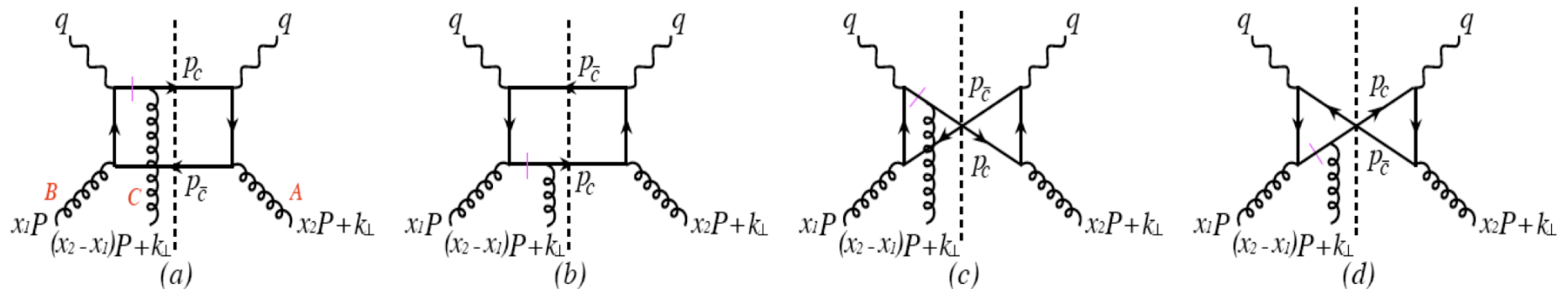
## □ D-meson production at EIC:

- ❖ Clean probe for gluonic twist-3 correlation functions
- ❖  $T_G^{(f)}(x, x)$  could be connected to the gluonic Sivers function

# D-meson production at EIC

Kang, Qiu, PRD, 2008

## □ Dominated by the tri-gluon subprocess:



- ❖ Active parton momentum fraction cannot be too large
- ❖ Intrinsic charm contribution is not important
- ❖ Sufficient production rate

## □ Single transverse-spin asymmetry:

$$A_N = \frac{\sigma(s_\perp) - \sigma(-s_\perp)}{\sigma(s_\perp) + \sigma(-s_\perp)} = \frac{d\Delta\sigma(s_\perp)}{dx_B dy dz_h dP_{h\perp}^2 d\phi} \bigg/ \frac{d\sigma}{dx_B dy dz_h dP_{h\perp}^2 d\phi}$$

SSA is directly proportional to tri-gluon correlation functions

## Features of the SSA in D-production at EIC

### □ Dependence on tri-gluon correlation functions:

$$D - \text{meson} \propto T_G^{(f)} + T_G^{(d)} \quad \bar{D} - \text{meson} \propto T_G^{(f)} - T_G^{(d)}$$

Separate  $T_G^{(f)}$  and  $T_G^{(d)}$  by the difference between  $D$  and  $\bar{D}$

### □ Model for tri-gluon correlation functions:

$$T_G^{(f,d)}(x, x) = \lambda_{f,d} G(x) \quad \lambda_{f,d} = \pm \lambda_F = \pm 0.07 \text{ GeV}$$

### □ Kinematic constraints:

$$x_{min} = \begin{cases} x_B \left[ 1 + \frac{P_{h\perp}^2 + m_c^2}{z_h(1-z_h)Q^2} \right], & \text{if } z_h + \sqrt{z_h^2 + \frac{P_{h\perp}^2}{m_c^2}} \geq 1 \\ x_B \left[ 1 + \frac{2m_c^2}{Q^2} \left( 1 + \sqrt{1 + \frac{P_{h\perp}^2}{z_h^2 m_c^2}} \right) \right], & \text{if } z_h + \sqrt{z_h^2 + \frac{P_{h\perp}^2}{m_c^2}} \leq 1 \end{cases}$$

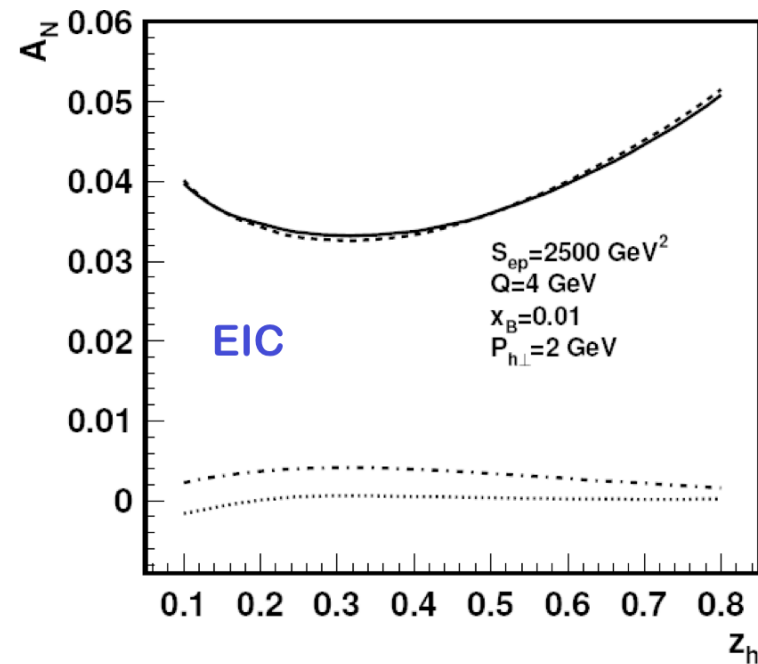
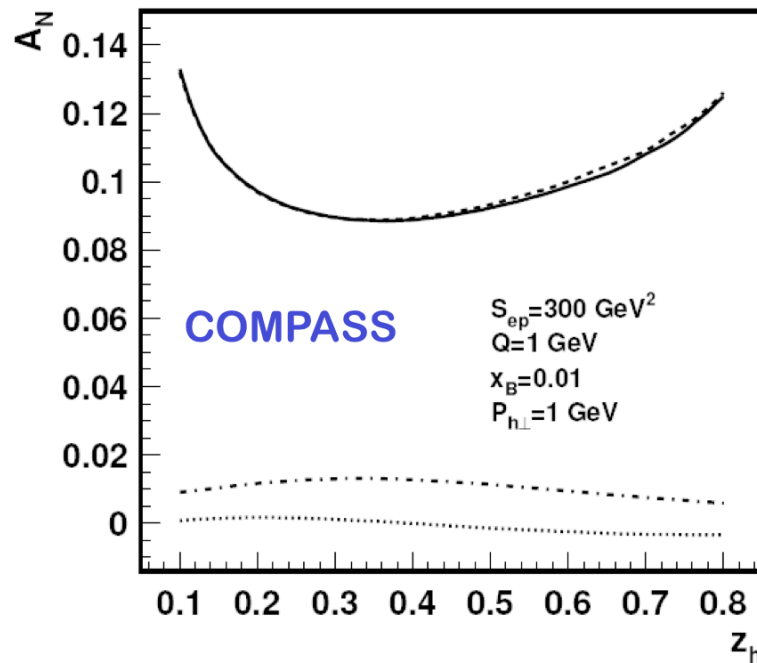
Note: The  $z_h(1 - z_h)$  has a maximum

SSA should have a minimum if the derivative term dominates

# Minimum in the SSA of D-production at EIC

Kang, Qiu, PRD, 2008

□ SSA for  $D^0$  production ( $\lambda_f$  only):

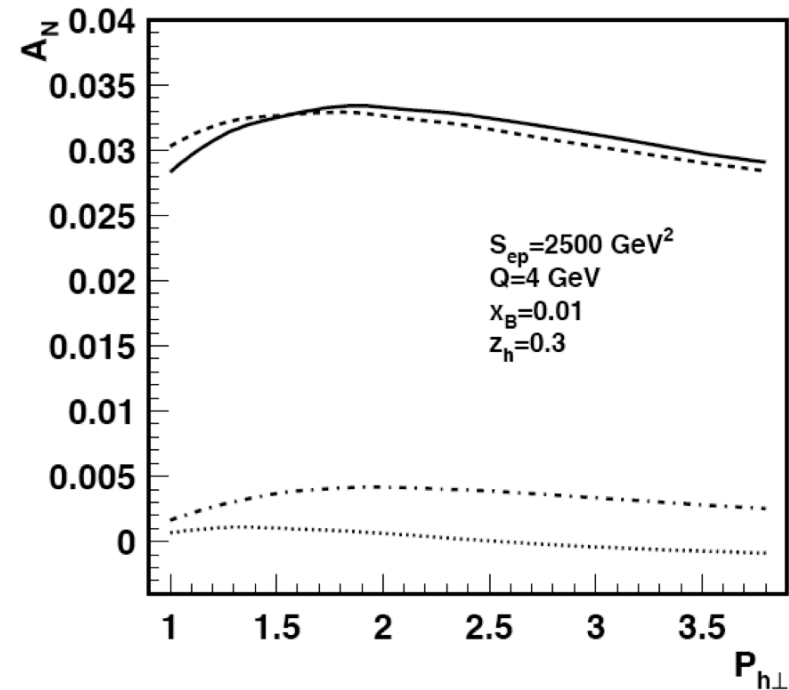
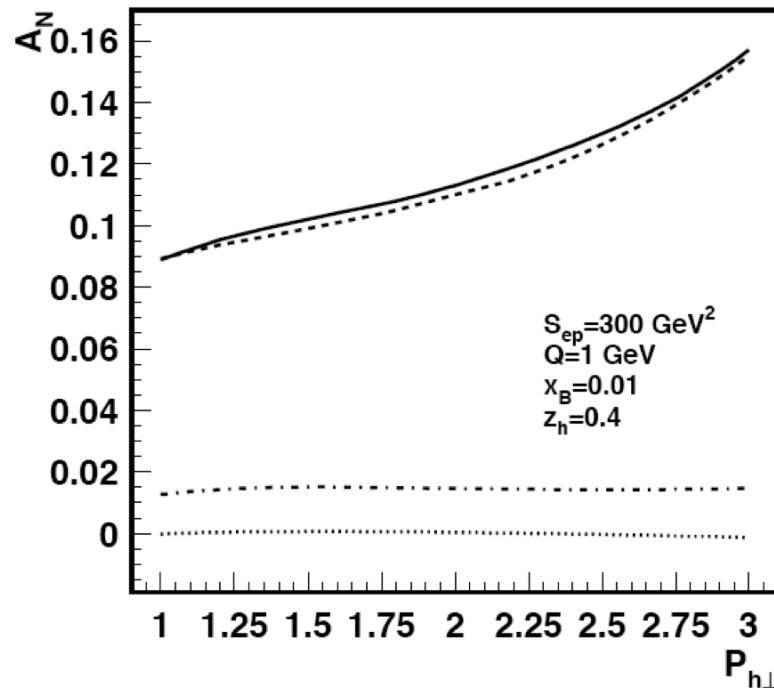


- ❖ Derivative term dominates, and small  $\varphi$  dependence
- ❖ Asymmetry is **twice** if  $T_G^{(f)} = +T_G^{(d)}$ , or **zero** if  $T_G^{(f)} = -T_G^{(d)}$
- ❖ Opposite for the  $\bar{D}$  meson
- ❖ Asymmetry has a minimum  $\sim z_h \sim 0.5$

# Maximum in the SSA of D-production at EIC

Kang, Qiu, PRD, 2008

□ SSA for  $D^0$  production ( $\lambda_f$  only):



❖ The SSA is a twist-3 effect, it should fall off as  $1/P_T$  when  $P_T \gg m_c$

❖ For the region,  $P_T \sim m_c$ ,

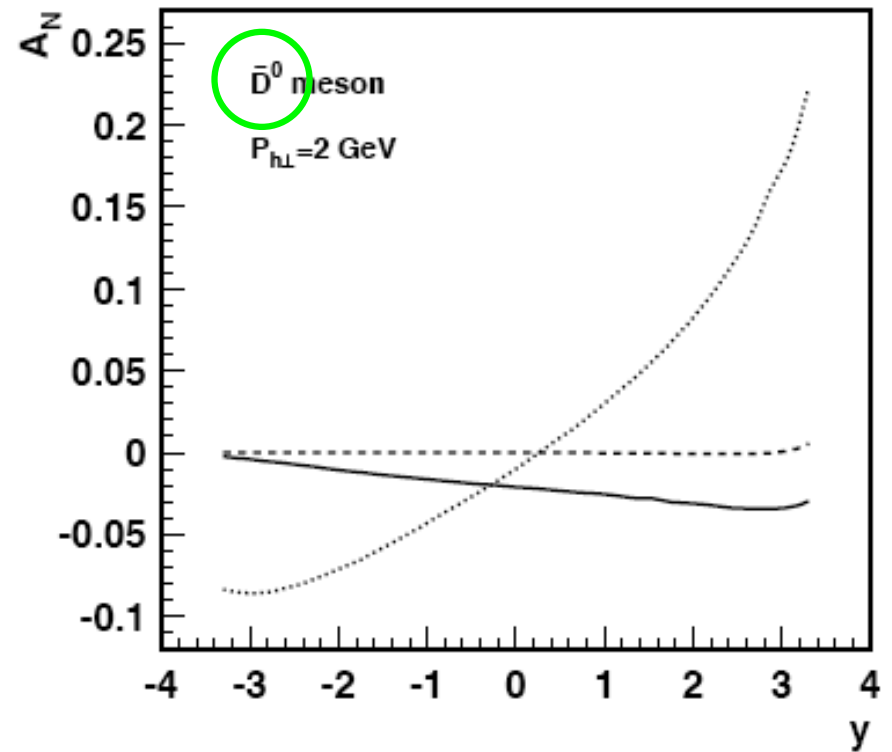
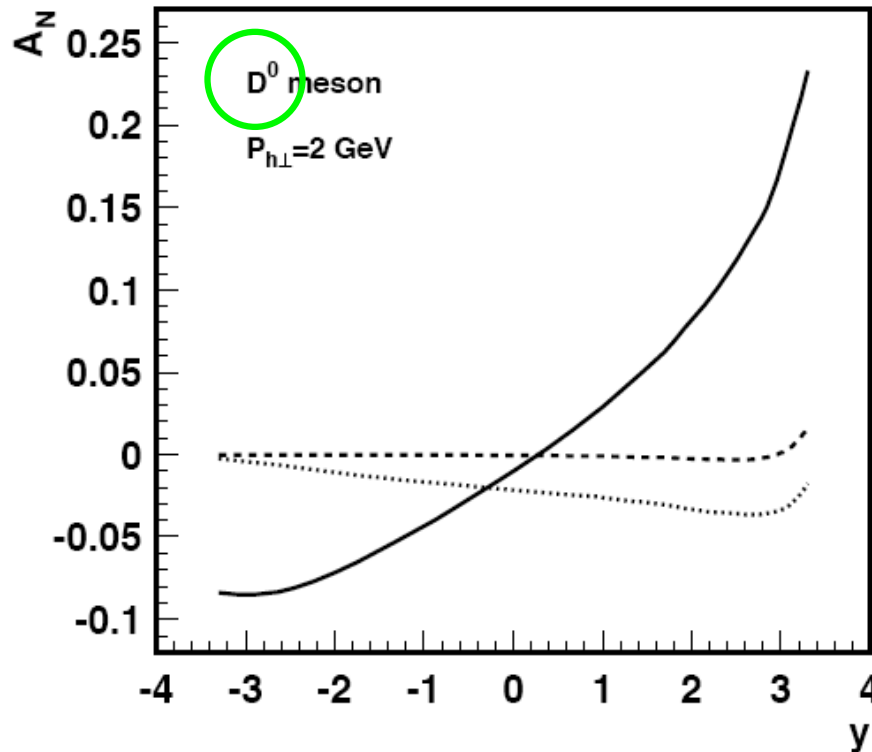
$$A_N \propto \epsilon^{P_h s_\perp n \bar{n}} \frac{1}{\tilde{t}} = -\sin \phi_s \frac{P_{h\perp}}{\tilde{t}}$$

$$\tilde{t} = (p_c - q)^2 - m_c^2 = -\frac{1 - \hat{z}}{\hat{x}} Q^2$$

$$\hat{z} = z_h/z, \quad \hat{x} = x_B/x$$

# SSA of D-meson production at RHIC

□ **Rapidity:**  $\sqrt{s} = 200 \text{ GeV}$   $\mu = \sqrt{m_c^2 + P_{h\perp}^2}$   $m_c = 1.3 \text{ GeV}$



**Solid:** (1)  $\lambda_f = \lambda_d = 0.07 \text{ GeV}$

**Dashed:** (2)  $\lambda_f = \lambda_d = 0$

**Dotted:** (3)  $\lambda_f = -\lambda_d = 0.07 \text{ GeV}$

$$T_G^{(f)} = T_G^{(d)}$$

$$T_G^{(f)} = T_G^{(d)} = 0$$

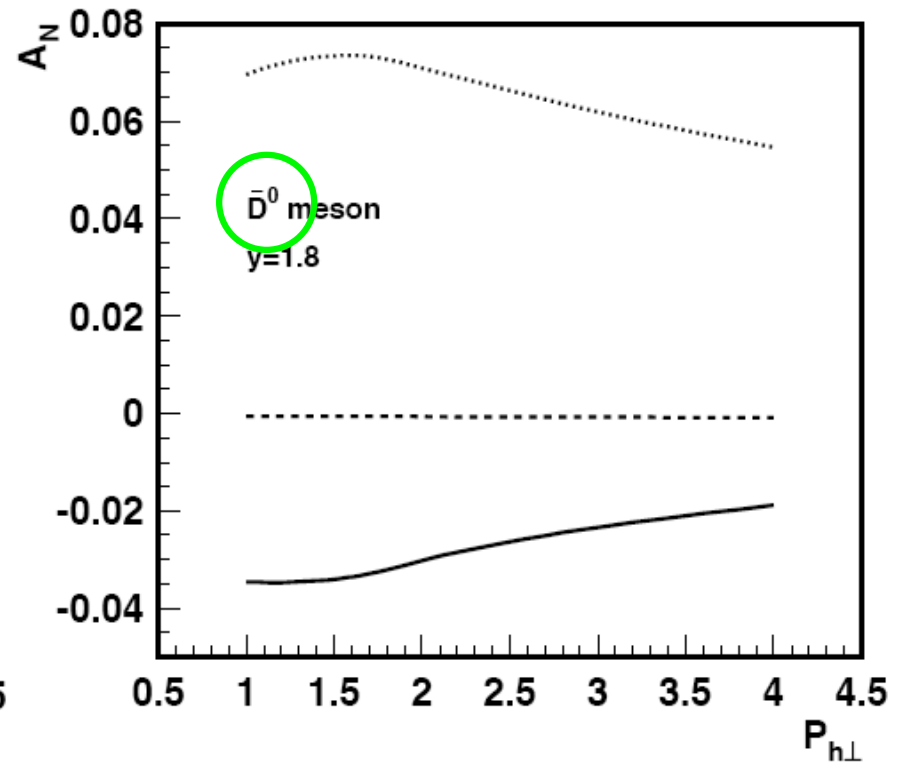
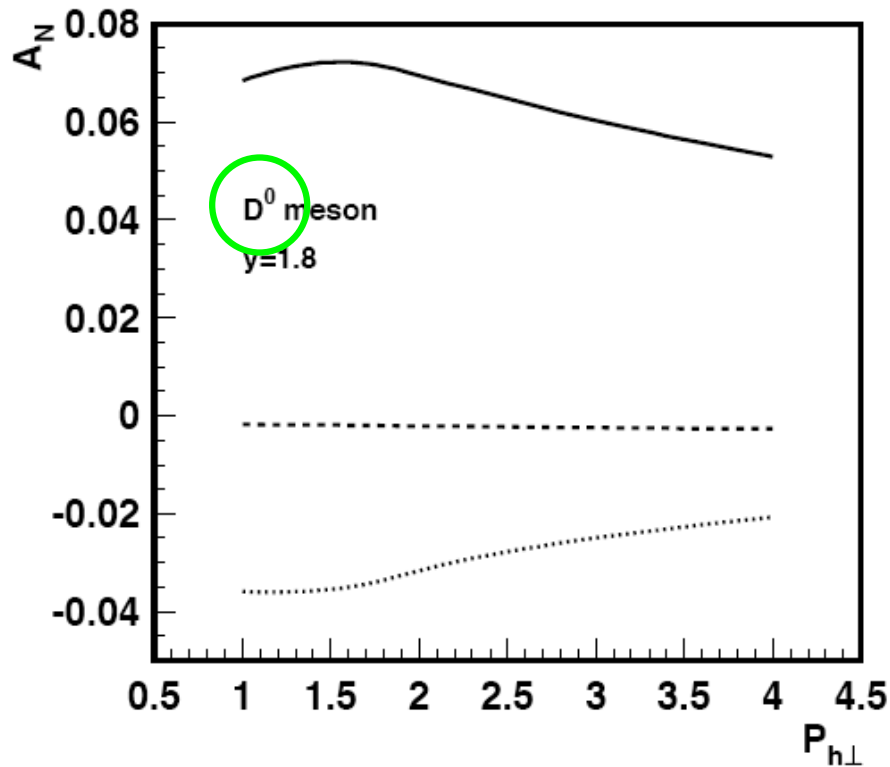
$$T_G^{(f)} = -T_G^{(d)}$$

**No intrinsic  
Charm included**

Kang, Qiu, Vogelsang, Yuan, 2008

# SSA of D-meson production at RHIC

□  $P_T$  dependence:  $\sqrt{s} = 200 \text{ GeV}$   $\mu = \sqrt{m_c^2 + P_{h\perp}^2}$   $m_c = 1.3 \text{ GeV}$



**Solid:** (1)  $\lambda_f = \lambda_d = 0.07 \text{ GeV}$

**Dashed:** (2)  $\lambda_f = \lambda_d = 0$

**Dotted:** (3)  $\lambda_f = -\lambda_d = 0.07 \text{ GeV}$

$$T_G^{(f)} = T_G^{(d)}$$

$$T_G^{(f)} = T_G^{(d)} = 0$$

$$T_G^{(f)} = -T_G^{(d)}$$

**No intrinsic  
Charm included**

Kang, Qiu, Vogelsang, Yuan, 2008



# Scale dependence of SSA

## □ Almost all existing calculations of SSA are at LO:

- ❖ Strong dependence on renormalization and factorization scales
- ❖ Artifact of the lowest order calculation

## □ Improve QCD predictions:

- ❖ Complete set of twist-3 correlation functions relevant to SSA
- ❖ LO evolution for the universal twist-3 correlation functions
- ❖ NLO partonic hard parts for various observables
- ❖ NLO evolution for the correlation functions, ...

## □ Current status:

- ❖ Two sets of twist-3 correlation functions
- ❖ LO evolution kernel for  $T_{q,F}(x, x)$  and  $T_{G,F}^{(f,d)}(x, x)$  Kang, Qiu, 2009
- ❖ NLO hard part for SSA of  $p_T$  weighted Drell-Yan Vogelsang, Yuan, 2009

# Two sets of twist-3 correlation functions

## □ Twist-2 distributions:

### ❖ Unpolarized PDFs:

$$q(x) \propto \langle P | \bar{\psi}_q(0) \frac{\gamma^+}{2} \psi_q(y) | P \rangle$$

$$G(x) \propto \langle P | F^{+\mu}(0) F^{+\nu}(y) | P \rangle (-g_{\mu\nu})$$

### ❖ Polarized PDFs:

$$\Delta q(x) \propto \langle P, S_{\parallel} | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi_q(y) | P, S_{\parallel} \rangle$$

$$\Delta G(x) \propto \langle P, S_{\parallel} | F^{+\mu}(0) F^{+\nu}(y) | P, S_{\parallel} \rangle (i\epsilon_{\perp\mu\nu})$$

## □ Two-sets Twist-3 correlation functions:

Kang, Qiu, PRD, 2009

$$\tilde{T}_{q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+}{2} [\epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

$$\tilde{T}_{G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [\epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (-g_{\rho\lambda})$$

$$\tilde{T}_{\Delta q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} [i s_T^{\sigma} F_{\sigma}^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

$$\tilde{T}_{\Delta G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [i s_T^{\sigma} F_{\sigma}^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (i\epsilon_{\perp\rho\lambda})$$

# Evolution equations and evolution kernels

## □ Evolution equation is a consequence of factorization:

Factorization:  $\Delta\sigma(Q, s_T) = (1/Q)H_1(Q/\mu_F, \alpha_s) \otimes f_2(\mu_F) \otimes f_3(\mu_F)$

DGLAP for  $f_2$ :  $\frac{\partial}{\partial \ln(\mu_F)} f_2(\mu_F) = P_2 \otimes f_2(\mu_F)$

Evolution for  $f_3$ :  $\frac{\partial}{\partial \ln(\mu_F)} f_3 = \left( \frac{\partial}{\partial \ln(\mu_F)} H_1^{(1)} - P_2^{(1)} \right) \otimes f_3$

## □ Evolution kernel is process independent:

- ❖ Calculate directly from the variation of process independent twist-3 distributions

Kang, Qiu, 2009  
Yuan, Zhou, 2009

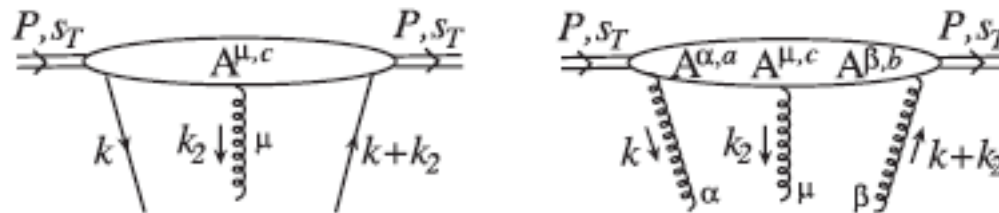
- ❖ Extract from the scale dependence of the NLO hard part of any physical process

Vogelsang, Yuan, 2009

- ❖ Both approaches should give the same kernel

# Evolution equations – I

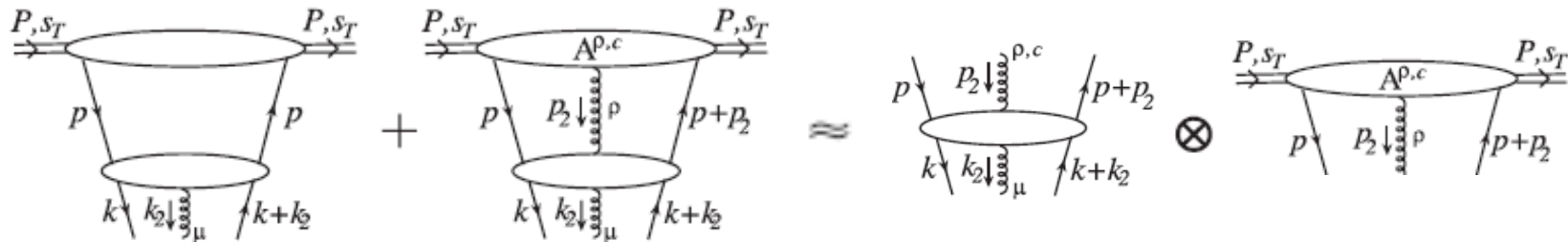
## □ Feynman diagram representation of twist-3 distributions:



Kang, Qiu, 2009

Different twist-3 distributions  $\Leftrightarrow$  diagrams with different cut vertices

## □ Collinear factorization of twist-3 distributions:



## □ Cut vertex and projection operator in LC gauge:

$$\mathcal{V}_{q,F}^{\text{LC}} = \frac{\gamma^+}{2P^+} \delta\left(x - \frac{k^+}{P^+}\right) x_2 \delta\left(x_2 - \frac{k_2^+}{P^+}\right) (i\epsilon^{s_T \sigma n \bar{n}}) [-g_{\sigma\mu}] \mathcal{C}_q$$

$$\mathcal{P}_{q,F}^{(\text{LC})} = \frac{1}{2} \gamma \cdot P \left( \frac{-1}{\xi_2} \right) (i\epsilon^{s_T \rho n \bar{n}}) \tilde{\mathcal{C}}_q$$

## Evolution equations – II

### □ Closed set of evolution equations (spin-dependent):

$$\begin{aligned} \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{T}_{q,F}(x, x + x_2, \mu_F, s_T) &= \int d\xi d\xi_2 [\tilde{T}_{q,F}(\xi, \xi + \xi_2, \mu_F, s_T) K_{qq}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s) \\ &\quad + \tilde{T}_{\Delta q,F}(\xi, \xi + \xi_2, \mu_F, s_T) K_{q\Delta q}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s)] \\ &\quad + \sum_{i=f,d} \int d\xi d\xi_2 [\tilde{T}_{G,F}^{(i)}(\xi, \xi + \xi_2, \mu_F, s_T) K_{qg}^{(i)}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s) \\ &\quad + \tilde{T}_{\Delta G,F}^{(i)}(\xi, \xi + \xi_2, \mu_F, s_T) K_{q\Delta g}^{(i)}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s)]. \end{aligned}$$

$$\begin{aligned} \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{T}_{G,F}^{(i)}(x, x + x_2, \mu_F, s_T) &= \sum_{j=f,d} \int d\xi d\xi_2 [\tilde{T}_{G,F}^{(j)}(\xi, \xi + \xi_2, \mu_F, s_T) K_{gg}^{(ji)}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s) \\ &\quad + \tilde{T}_{\Delta G,F}^{(j)}(\xi, \xi + \xi_2, \mu_F, s_T) K_{g\Delta g}^{(ji)}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s)] \\ &\quad + \sum_q \int d\xi d\xi_2 [\tilde{T}_{q,F}(\xi, \xi + \xi_2, \mu_F, s_T) K_{gq}^{(i)}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s) \\ &\quad + \tilde{T}_{\Delta q,F}(\xi, \xi + \xi_2, \mu_F, s_T) K_{g\Delta q}^{(i)}(\xi, \xi + \xi_2, x, x + x_2, \alpha_s)], \end{aligned}$$

Plus two more equations for:

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{T}_{\Delta q,F}(x, x + x_2, \mu_F, s_T) \quad \text{and} \quad \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{T}_{\Delta G,F}^{(i)}(x, x + x_2, \mu_F, s_T)$$

## Evolution equations – III

### □ Distributions relevant to SSA:

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \mathcal{T}_{q,F}(x, x + x_2, \mu_F) = \frac{1}{2} \left[ \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{q,F}(x, x + x_2, \mu_F, s_T) + \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{q,F}(x + x_2, x, \mu_F, s_T) \right],$$

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \mathcal{T}_{G,F}^{(i)}(x, x + x_2, \mu_F) = \frac{1}{2} \left[ \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{G,F}^{(i)}(x, x + x_2, \mu_F, s_T) + \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{G,F}^{(i)}(x + x_2, x, \mu_F, s_T) \right],$$

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \mathcal{T}_{\Delta q,F}(x, x + x_2, \mu_F) = \frac{1}{2} \left[ \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{\Delta q,F}(x, x + x_2, \mu_F, s_T) - \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{\Delta q,F}(x + x_2, x, \mu_F, s_T) \right],$$

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \mathcal{T}_{\Delta G,F}^{(i)}(x, x + x_2, \mu_F) = \frac{1}{2} \left[ \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{\Delta G,F}^{(i)}(x, x + x_2, \mu_F, s_T) - \mu_F^2 \frac{\partial}{\partial \mu_F^2} \tilde{\mathcal{T}}_{\Delta G,F}^{(i)}(x + x_2, x, \mu_F, s_T) \right].$$

### □ Important symmetry property:

$$T_{\Delta q,F}(x, x, \mu_F) \equiv \int dx_2 [2\pi\delta(x_2)] \mathcal{T}_{\Delta q,F}(x, x + x_2, \mu_F) = 0,$$

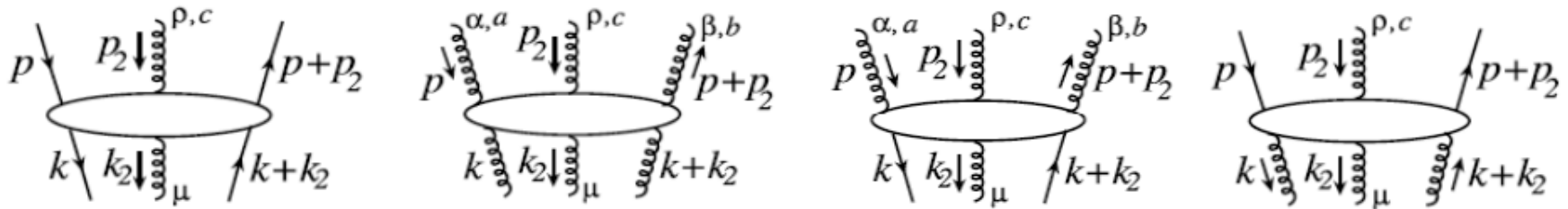
$$T_{\Delta G,F}^{(f,d)}(x, x, \mu_F) \equiv \int dx_2 [2\pi\delta(x_2)] \left(\frac{1}{x}\right) \mathcal{T}_{\Delta G}^{(f,d)}(x, x + x_2, \mu_F) = 0.$$

These two correlation functions do not give the gluonic pole contribution directly

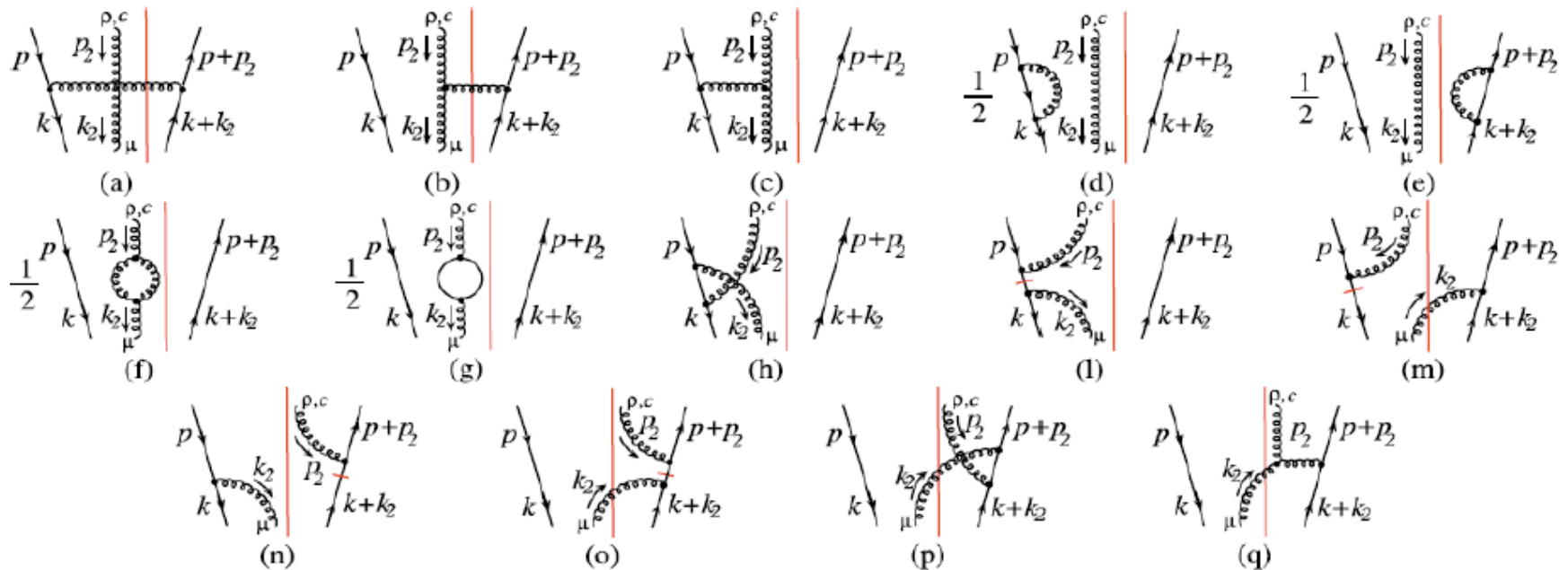
# Evolution kernels

Kang, Qiu, PRD, 2009

## □ Feynman diagrams:



## □ LO for flavor non-singlet channel:



# Leading order evolution equations – I

Kang, Qiu, PRD, 2009

## □ Quark:

$$\begin{aligned} \frac{\partial T_{q,F}(x, x, \mu_F)}{\partial \ln \mu_F^2} = & \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left\{ P_{qq}(z) T_{q,F}(\xi, \xi, \mu_F) \right. \\ & + \frac{C_A}{2} \left[ \frac{1+z^2}{1-z} [T_{q,F}(\xi, x, \mu_F) - T_{q,F}(\xi, \xi, \mu_F)] + z T_{q,F}(\xi, x, \mu_F) \right] + \frac{C_A}{2} [T_{\Delta q,F}(x, \xi, \mu_F)] \\ & \left. + P_{qg}(z) \left( \frac{1}{2} \right) [T_{G,F}^{(d)}(\xi, \xi, \mu_F) + T_{G,F}^{(f)}(\xi, \xi, \mu_F)] \right\} \end{aligned}$$

## □ Antiquark:

$$\begin{aligned} \frac{\partial T_{\bar{q},F}(x, x, \mu_F)}{\partial \ln \mu_F^2} = & \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left\{ P_{qq}(z) T_{\bar{q},F}(\xi, \xi, \mu_F) \right. \\ & + \frac{C_A}{2} \left[ \frac{1+z^2}{1-z} [T_{\bar{q},F}(\xi, x, \mu_F) - T_{\bar{q},F}(\xi, \xi, \mu_F)] + z T_{\bar{q},F}(\xi, x, \mu_F) \right] + \frac{C_A}{2} [T_{\Delta \bar{q},F}(x, \xi, \mu_F)] \\ & \left. + P_{qg}(z) \left( \frac{1}{2} \right) [T_{G,F}^{(d)}(\xi, \xi, \mu_F) - T_{G,F}^{(f)}(\xi, \xi, \mu_F)] \right\} \end{aligned}$$

- ❖ All kernels are infrared safe
- ❖ Diagonal contribution is the same as that of DGLAP
- ❖ Quark and antiquark evolve differently – caused by tri-gluon



# Leading order evolution equations – II

Kang, Qiu, PRD, 2009

## □ Gluons:

$$\frac{\partial T_{G,F}^{(d)}(x, x, \mu_F)}{\partial \ln \mu_F^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left\{ P_{gg}(z) T_{G,F}^{(d)}(\xi, \xi, \mu_F) \right. \\ + \frac{C_A}{2} \left[ 2 \left( \frac{z}{1-z} + \frac{1-z}{z} + z(1-z) \right) \left[ T_{G,F}^{(d)}(\xi, x, \mu_F) - T_{G,F}^{(d)}(\xi, \xi, \mu_F) \right] \right. \\ + 2 \left( 1 - \frac{1-z}{2z} - z(1-z) \right) T_{G,F}^{(d)}(\xi, x, \mu_F) + (1+z) T_{\Delta G,F}^{(d)}(x, \xi, \mu_F) \left. \right] \\ \left. + P_{gq}(z) \left( \frac{N_c^2 - 4}{N_c^2 - 1} \right) \sum_q [T_{q,F}(\xi, \xi, \mu_F) + T_{\bar{q},F}(\xi, \xi, \mu_F)] \right\}$$

Similar expression for  $T_{G,F}^{(f)}(x, x, \mu_F)$

- ❖ Kernels are also infrared safe
- ❖ diagonal contribution is the same as that of DGLAP
- ❖ Two tri-gluon distributions evolve slightly different
- ❖  $T_{G,F}^{(d)}$  has no connection to TMD distribution
- ❖ Evolution can generate  $T_{G,F}^{(d)}$  as long as  $\sum_q [T_{q,F} + T_{\bar{q},F}] \neq 0$

## Leading order evolution equations – III

- Evolution equations for diagonal correlation functions are not closed!
- Model for the off-diagonal correlation functions:

For the symmetric correlation functions:

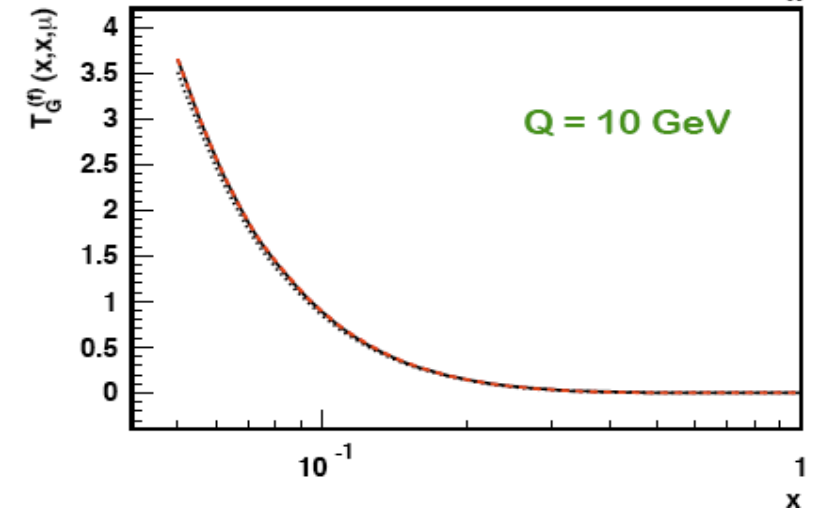
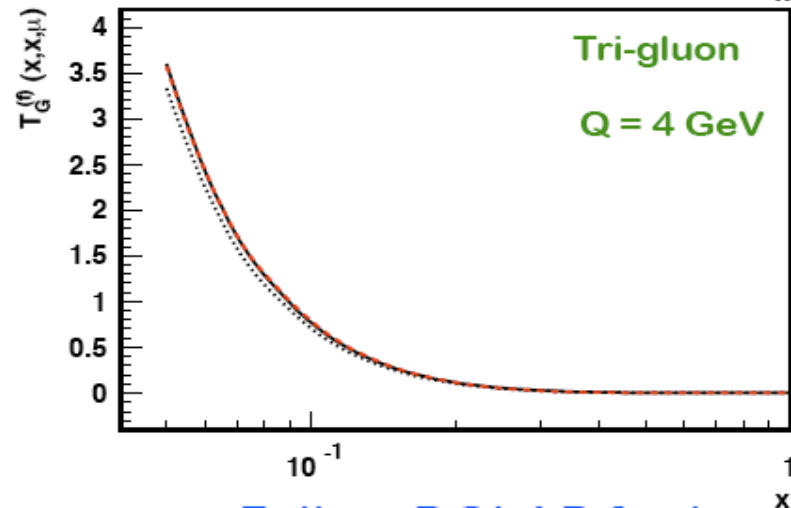
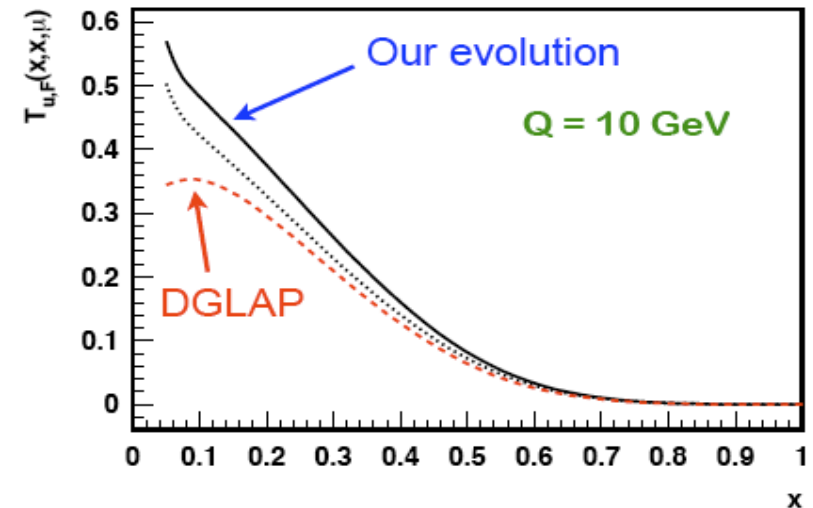
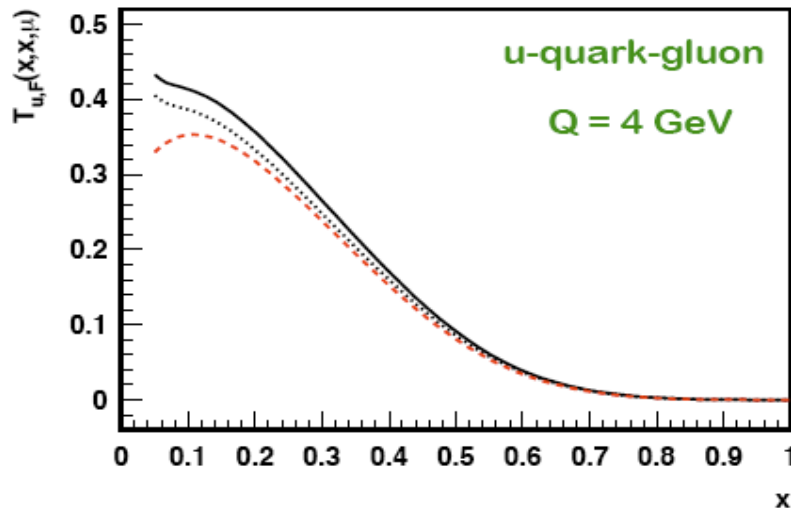
$$T_{q,F}(x_1, x_2, \mu_F) = \frac{1}{2}[T_{q,F}(x_1, x_1, \mu_F) + T_{q,F}(x_2, x_2, \mu_F)]e^{-(x_1-x_2)^2/2\sigma^2},$$

$$\mathcal{T}_{G,F}^{(f,d)}(x_1, x_2, \mu_F) = \frac{1}{2}[\mathcal{T}_{G,F}^{(f,d)}(x_1, x_1, \mu_F) + \mathcal{T}_{G,F}^{(f,d)}(x_2, x_2, \mu_F)]e^{-(x_1-x_2)^2/2\sigma^2},$$



$$T_{G,F}^{(f,d)}(x_1, x_2, \mu_F) = \frac{1}{2}\left[T_{G,F}^{(f,d)}(x_1, x_1, \mu_F) + \frac{x_2}{x_1}T_{G,F}^{(f,d)}(x_2, x_2, \mu_F)\right]e^{-(x_1-x_2)^2/2\sigma^2}.$$

# Scale dependence of twist-3 correlations



- ❖ Follow DGLAP at large  $x$
- ❖ Large deviation at low  $x$  (stronger correlation)

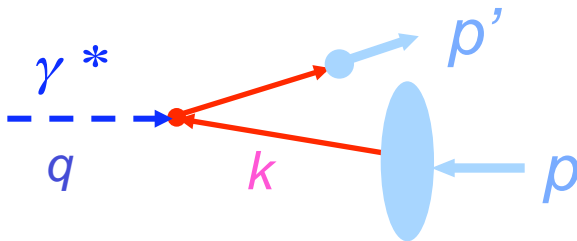
Kang, Qiu, PRD, 2009

# TMD factorization

## □ Need processes with two observed momentum scales:

$Q_1 \gg Q_2 \left\{ \begin{array}{l} Q_1 \text{ necessary for pQCD factorization to have a chance} \\ Q_2 \text{ sensitive to parton's transverse motion} \end{array} \right.$

## □ Example – semi-inclusive DIS:



- ❖ Both  $p$  and  $p'$  are observed
- ❖  $p'_T$  probes the parton's  $k_T$
- ❖ Effect of  $k_T$  is not suppressed by  $Q$

## □ Very limited processes with valid TMD factorization

- ❖ Drell-Yan transverse momentum distribution:  $Q, q_T$ 
  - quark Siverson function
  - low rate

Collins, Qiu, 2007  
Vogelsang, Yuan, 2007

- ❖ Semi-inclusive DIS for light hadrons:  $Q, p_T$ 
  - mixture of quark Siverson and Collins function

# TMD parton distributions

## □ SIDIS:

$$f_{q/h^\uparrow}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) = \int \frac{dy^- d^2 \mathbf{y}_\perp}{(2\pi)^3} e^{ixp^+ y^- - i \mathbf{k}_\perp \cdot \mathbf{y}_\perp} \langle p, \vec{S} | \bar{\psi}(0^-, \mathbf{0}_\perp) \Phi_n^\dagger(\{\infty, 0\}, \mathbf{0}_\perp) \\ \times \Phi_{n_\perp}^\dagger(\infty, \{\mathbf{y}_\perp, \mathbf{0}_\perp\}) \frac{\gamma^+}{2} \Phi_n(\{\infty, y^-\}, \mathbf{y}_\perp) \psi(y^-, \mathbf{y}_\perp) | p, \vec{S} \rangle$$

Gauge links:

$$\Phi_n(\{\infty, y^-\}, \mathbf{y}_\perp) \equiv \mathcal{P} e^{-ig \int_{y^-}^{\infty} dy_1^- n^\mu A_\mu(y_1^-, \mathbf{y}_\perp)}$$

$$\Phi_{n_\perp}(\infty, \{\mathbf{y}_\perp, \mathbf{0}_\perp\}) \equiv \mathcal{P} e^{-ig \int_{\mathbf{0}_\perp}^{\mathbf{y}_\perp} d\mathbf{y}'_\perp \mathbf{n}_\perp^\mu A_\mu(\infty, \mathbf{y}'_\perp)}$$

## □ Drell-Yan:

$$f_{q/h^\uparrow}^{\text{DY}}(x, \mathbf{k}_\perp, \vec{S}) = \int \frac{dy^- d^2 \mathbf{y}_\perp}{(2\pi)^3} e^{ixp^+ y^- - i \mathbf{k}_\perp \cdot \mathbf{y}_\perp} \langle p, \vec{S} | \bar{\psi}(0^-, \mathbf{0}_\perp) \Phi_n^\dagger(\{-\infty, 0\}, \mathbf{0}_\perp) \\ \times \Phi_{n_\perp}^\dagger(-\infty, \{\mathbf{y}_\perp, \mathbf{0}_\perp\}) \frac{\gamma^+}{2} \Phi_n(\{-\infty, y^-\}, \mathbf{y}_\perp) \psi(y^-, \mathbf{y}_\perp) | p, \vec{S} \rangle$$

## □ PT invariance:

$$f_{q/h^\uparrow}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) = f_{q/h^\uparrow}^{\text{DY}}(x, \mathbf{k}_\perp, -\vec{S})$$

Collins 2002  
Boer et al, 2003  
Kang, Qiu, 2009

## □ Sivers function:

$$f_{q/h^\uparrow}(x, \mathbf{k}_\perp, \vec{S}) \equiv f_{q/h}(x, k_\perp) + f_{q/h^\uparrow}^{\text{Sivers}}(x, k_\perp) \vec{S} \cdot (\hat{p} \times \hat{\mathbf{k}}_\perp)$$

$$\longrightarrow f_{q/h^\uparrow}^{\text{Sivers}}(x, k_\perp)^{\text{SIDIS}} = -f_{q/h^\uparrow}^{\text{Sivers}}(x, k_\perp)^{\text{DY}} \longleftarrow$$

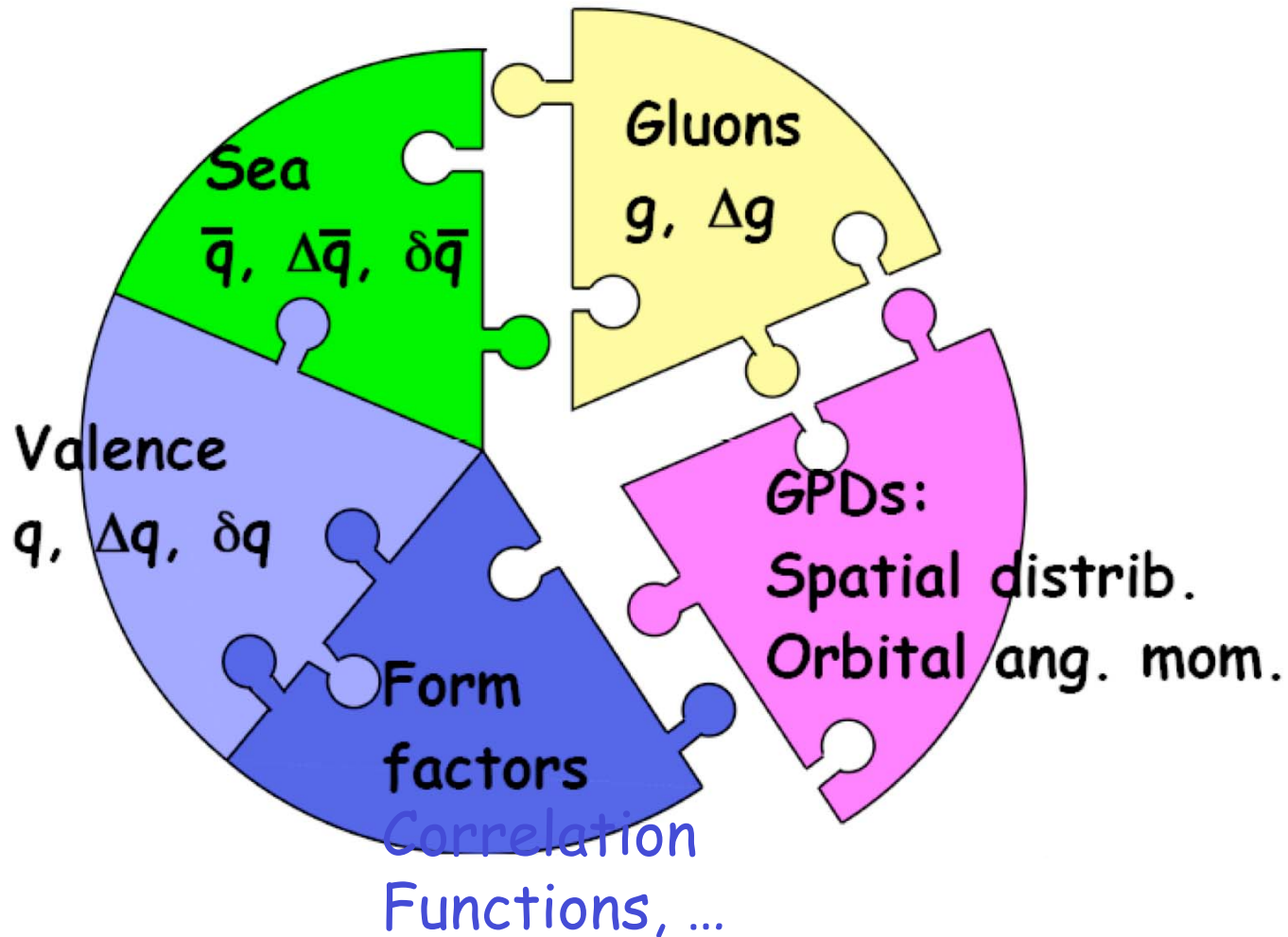
Modified  
Universality

## Summary and outlook

- ❑ It seems likely that quark and gluon helicity alone is not sufficient to make up the proton's spin
- ❑ SSA is connected to parton's transverse motion
- ❑ Collinear factorization and the TMD factorization cover different kinematic regimes – they are consistent when they overlap
- ❑ Twist-3 factorization formalism seems to be on a solid ground
- ❑ Spin program opens a whole new meaning to test QCD dynamics!

Thank you!

## Challenge: Map out the nucleon



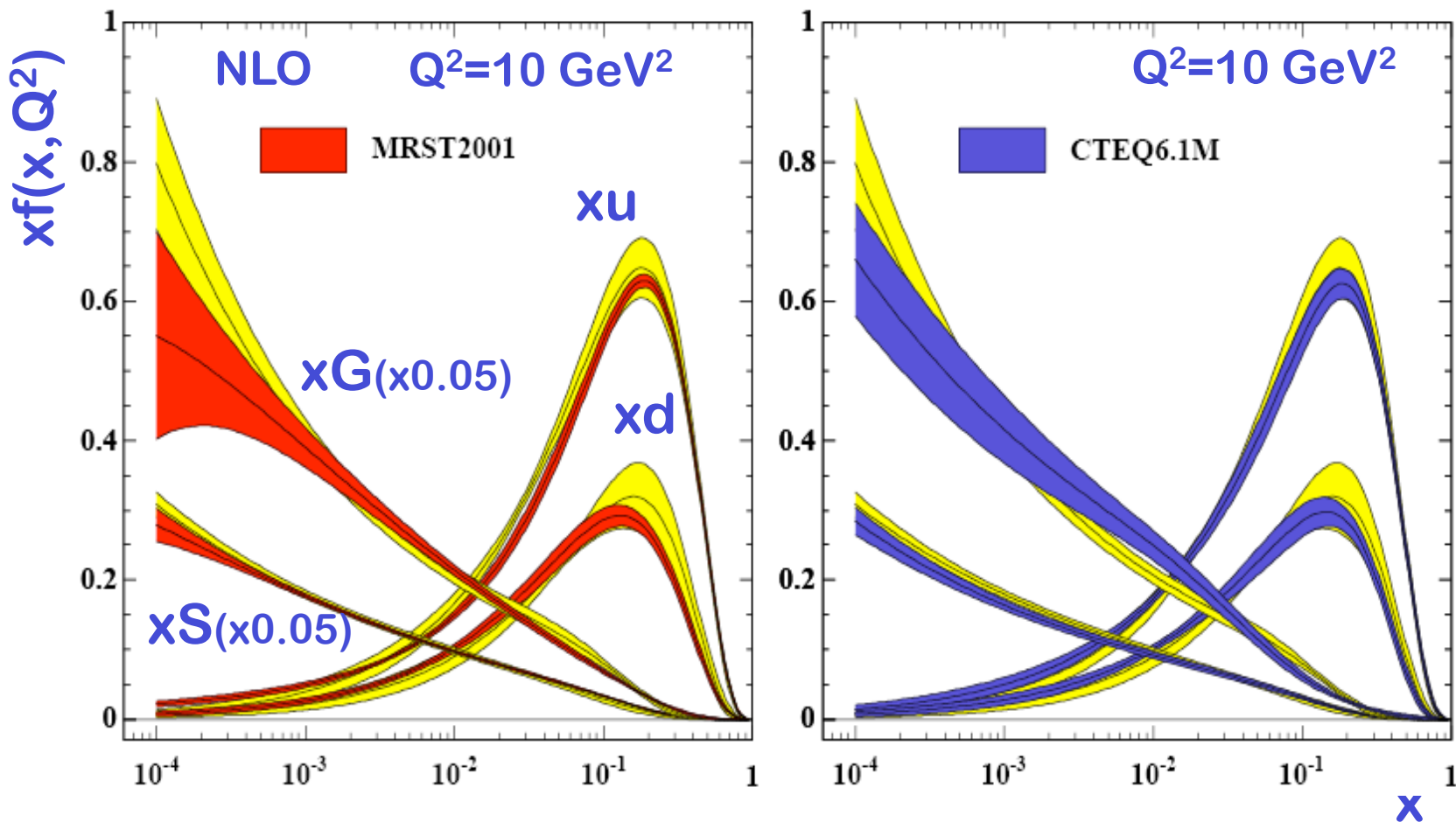
**RHIC spin and future EIC spin will play a key role!**

# Backup transparencies



# Universal parton distributions

□ Modern sets of PDFs with uncertainties:



Consistently fit almost all unpolarized data with  $Q > 2 \text{ GeV}$